

Patent Trial and Appeal Board Statistics

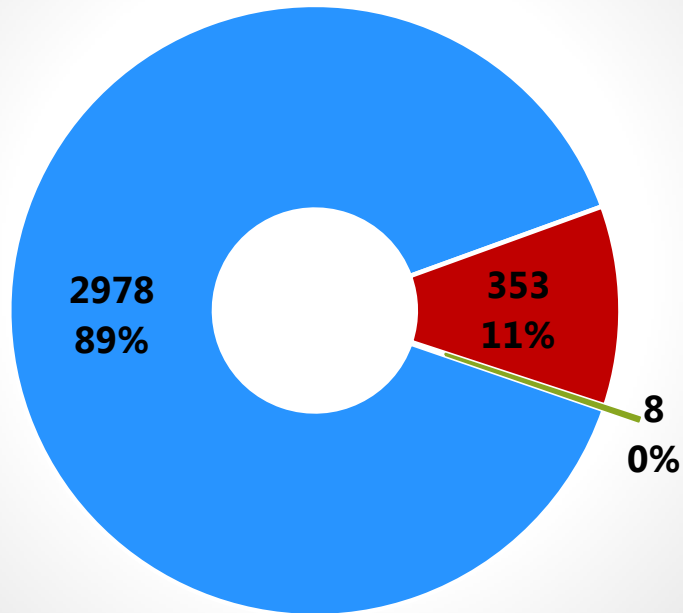
5/31/2015

UNITED STATES
PATENT AND TRADEMARK OFFICE



3339 Total AIA Petitions

Cumulative from 09/16/2012

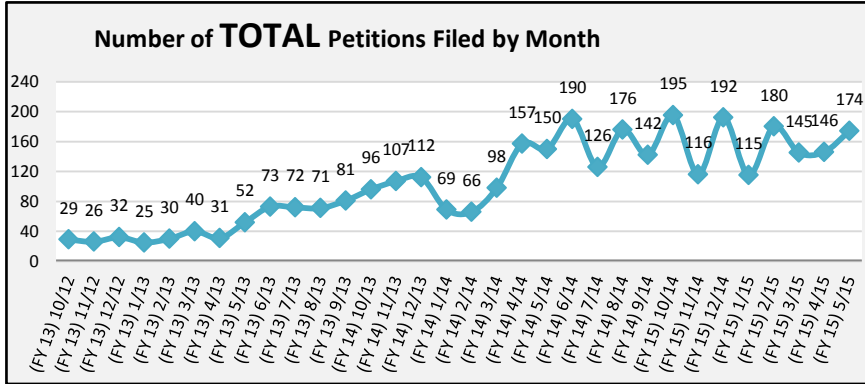
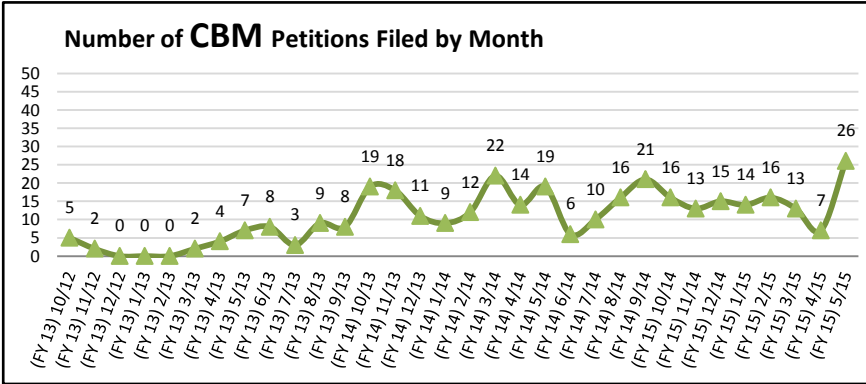
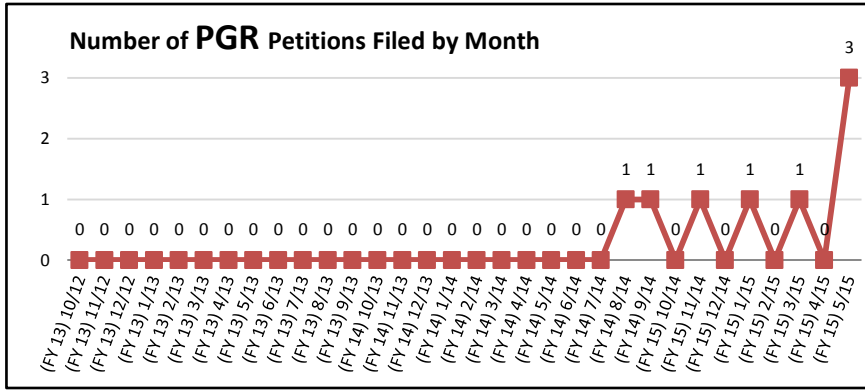
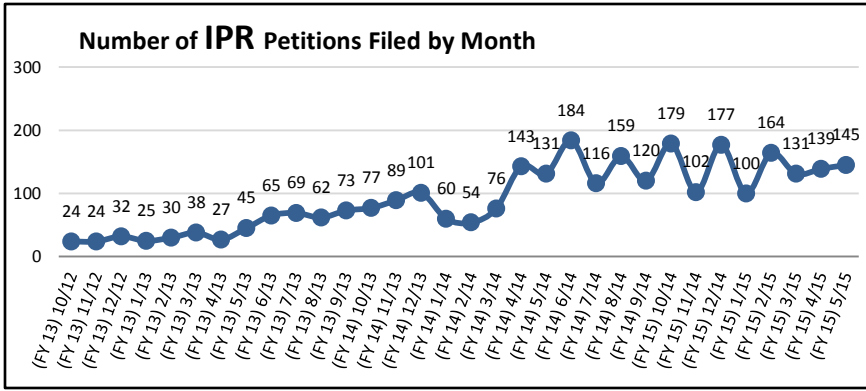


■ Total IPR Petitions ■ Total CBM Petitions ■ Total PGR Petitions

Narrative:

This pie chart shows the total number of cumulative AIA petitions filed to date broken out by trial type (i.e., IPR, CBM, and PGR).

Data current as of: 5/31/2015



Narrative:

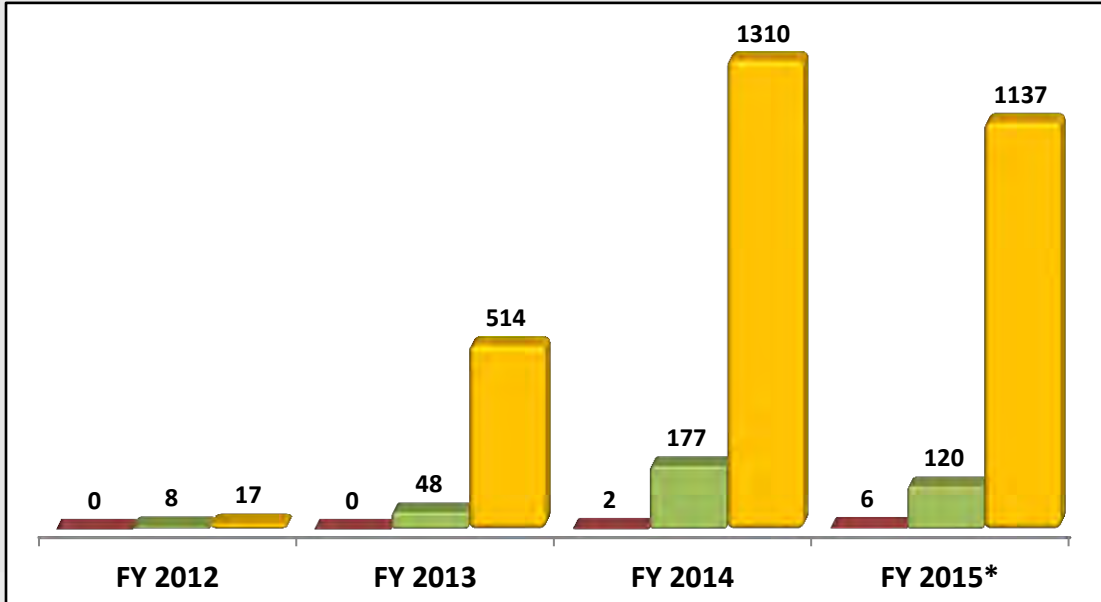
These line graphs display the number of IPR, CBM, and PGR petitions filed each month and the total number of all petitions filed each month from inception of the AIA to date.

Data current as of: 5/31/2015



Number of Petitions Filed by Fiscal Year by Type

■ PGR ■ CBM ■ IPR

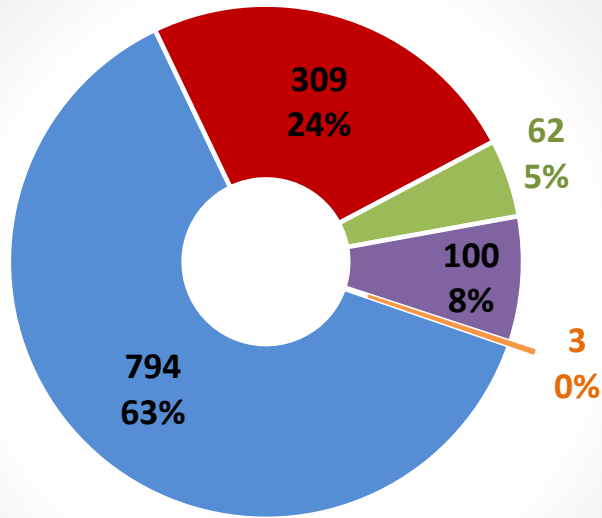


Narrative:

This bar graph depicts the number of AIA petitions filed each fiscal year, with each bar showing the filings for that fiscal year by trial type (i.e., IPR, CBM, and PGR).

***Data current as of: 5/31/2015**

1268 Total AIA Petitions in FY 15* (Technology Breakdown)



- Electrical/Computer - TCs 2100, 2400, 2600, 2800
- Mechanical/Business Method - TCs 3600, 3700
- Chemical - TC 1700
- Bio/Pharma - TC 1600
- Design - TC 2900

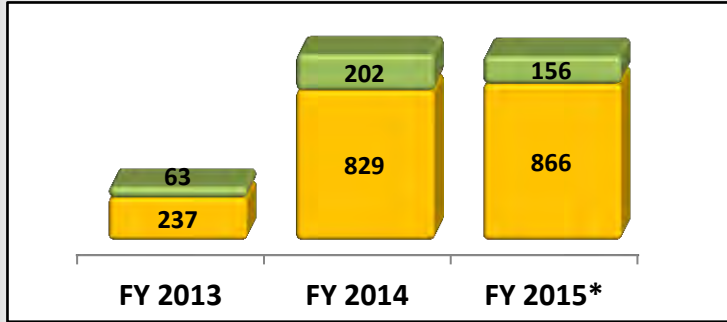
Narrative:

This pie chart shows the total number of AIA petitions filed in the current fiscal year to date and the number and percentage of these petitions broken down by technology.

***Data current as of: 5/31/2015**

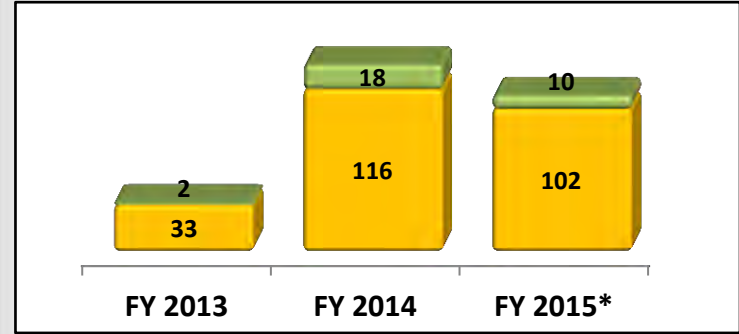


IPR - Number of Preliminary Patent Owner Responses by Fiscal Year



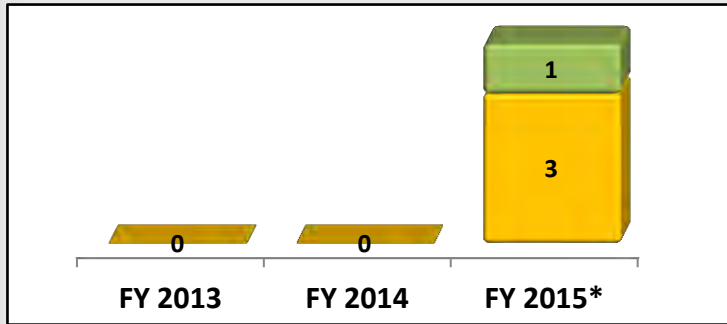
■ Filed ■ Waived/Not Filed

CBM - Number of Preliminary Patent Owner Responses by Fiscal Year



■ Filed ■ Waived/Not Filed

PGR - Number of Preliminary Patent Owner Responses by Fiscal Year



■ Filed ■ Waived/Not Filed

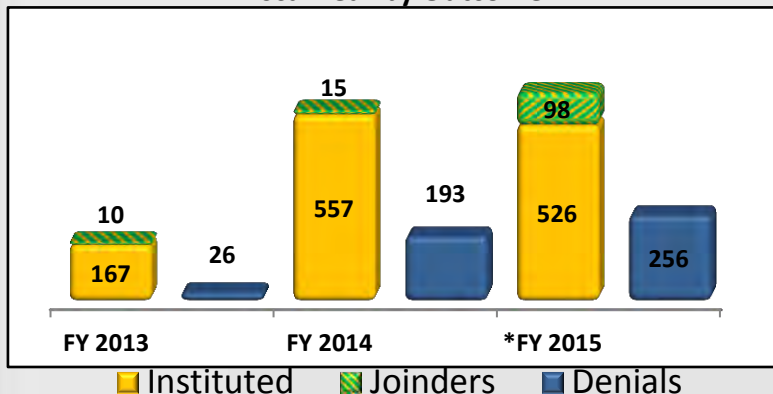
Narrative:

These three bar graphs show the number of patent owner preliminary responses filed and waived/not filed each fiscal year in IPR, CBM, and PGR proceedings, respectively.

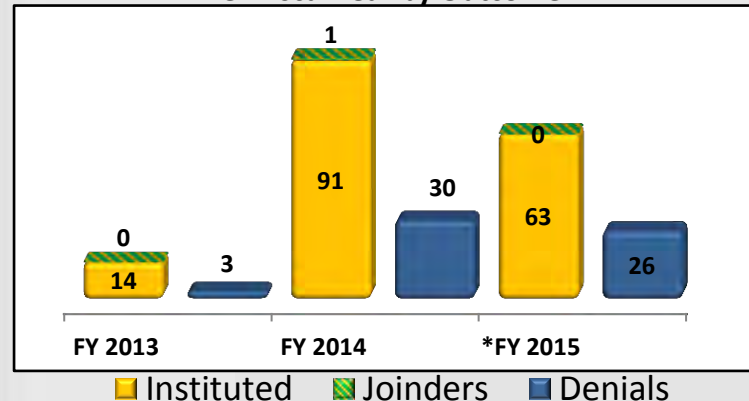
***Data current as of: 5/31/2015**



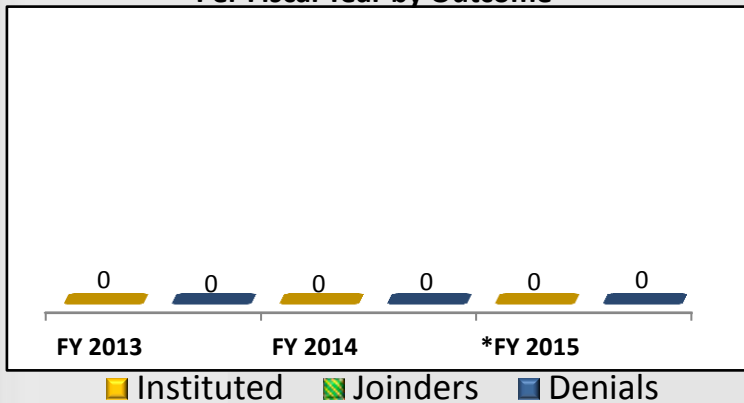
IPR - Number of Decisions On Institutions Per Fiscal Year by Outcome



CBM - Number of Decisions On Institutions Per Fiscal Year by Outcome



PGR - Number of Decisions On Institutions Per Fiscal Year by Outcome



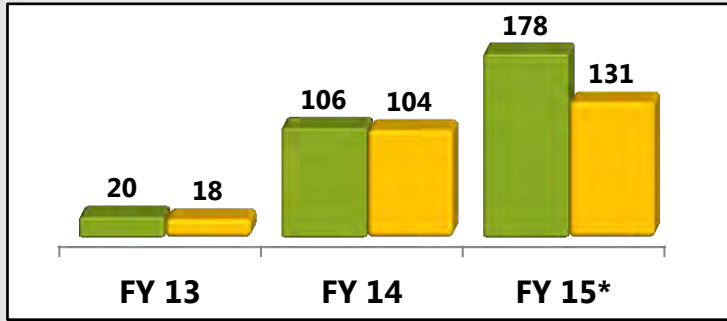
Narrative:

These three bar graphs show the number of decisions on institution by fiscal year broken out by trials instituted (including joinders) and trials denied in IPR, CBM, and PGR proceedings, respectively.

***Data current as of: 5/31/2015**

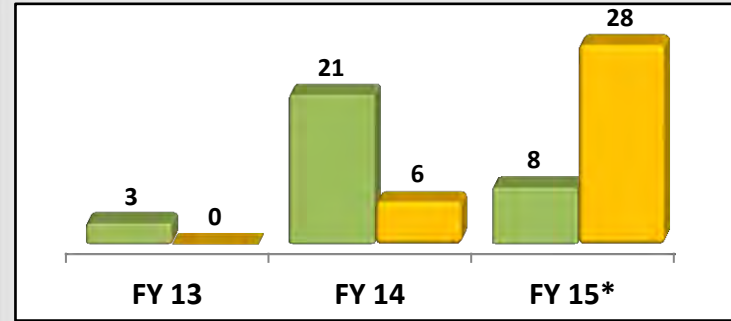


IPR - Settlements



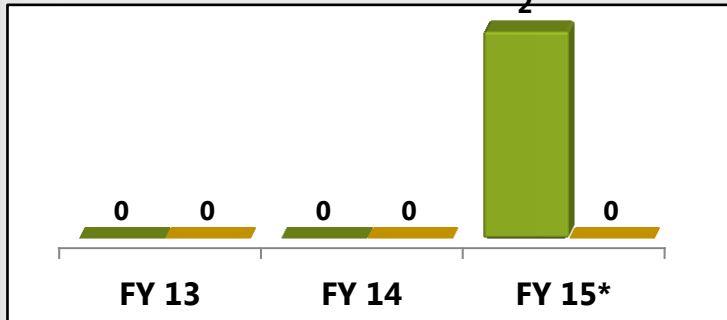
■ Settled Before Institution ■ Settled After Institution

CBM - Settlements



■ Settled Before Institution ■ Settled After Institution

PGR - Settlements



■ Settled Before Institution ■ Settled After Institution

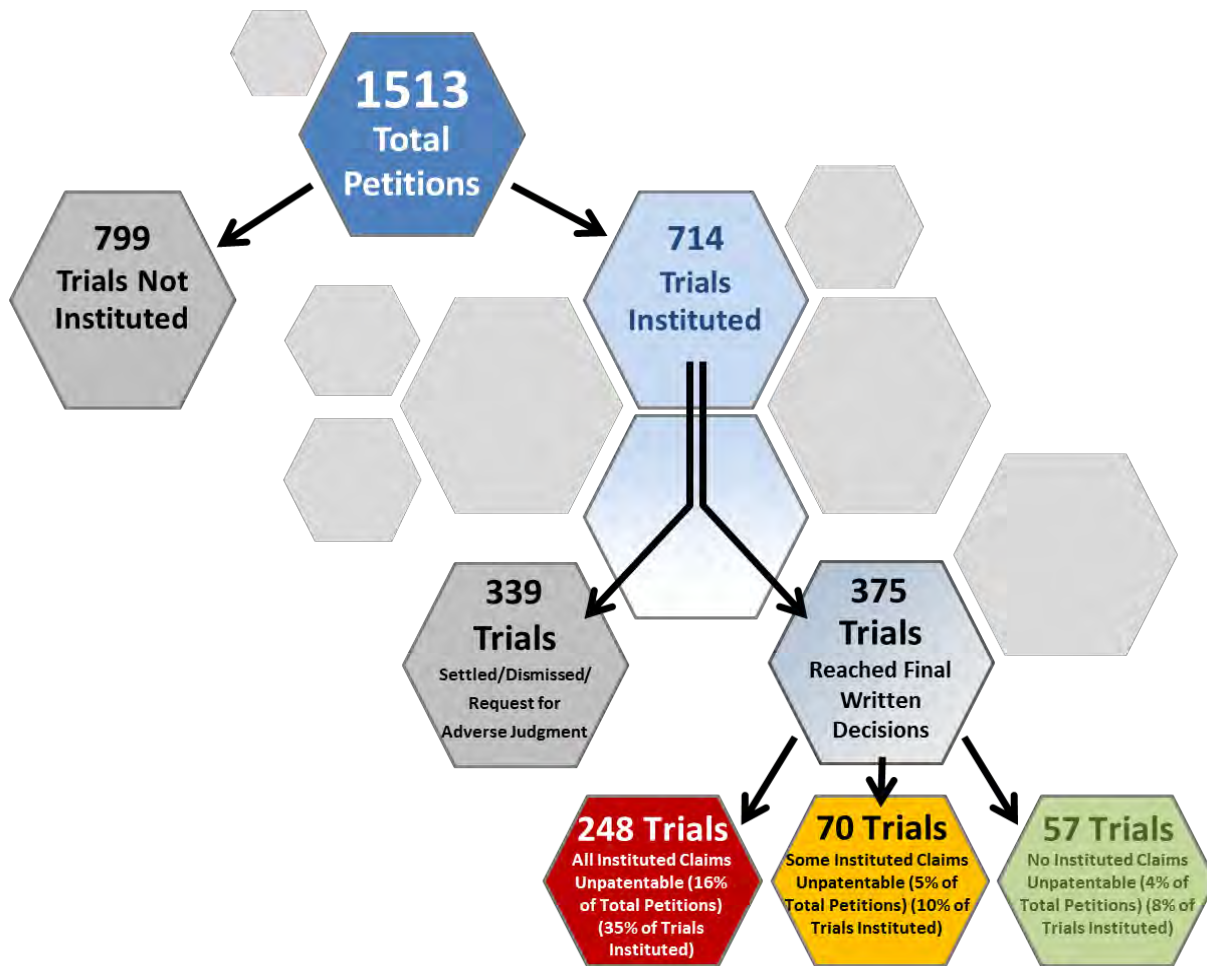
Narrative:

These three bar graphs show settlements in AIA trials broken down by settlements that occurred prior to institution and settlements that occurred after institution in IPR, CBM, and PGR proceedings, respectively.

*Data current as of: 5/31/2015



Disposition of IPR Petitions to Date



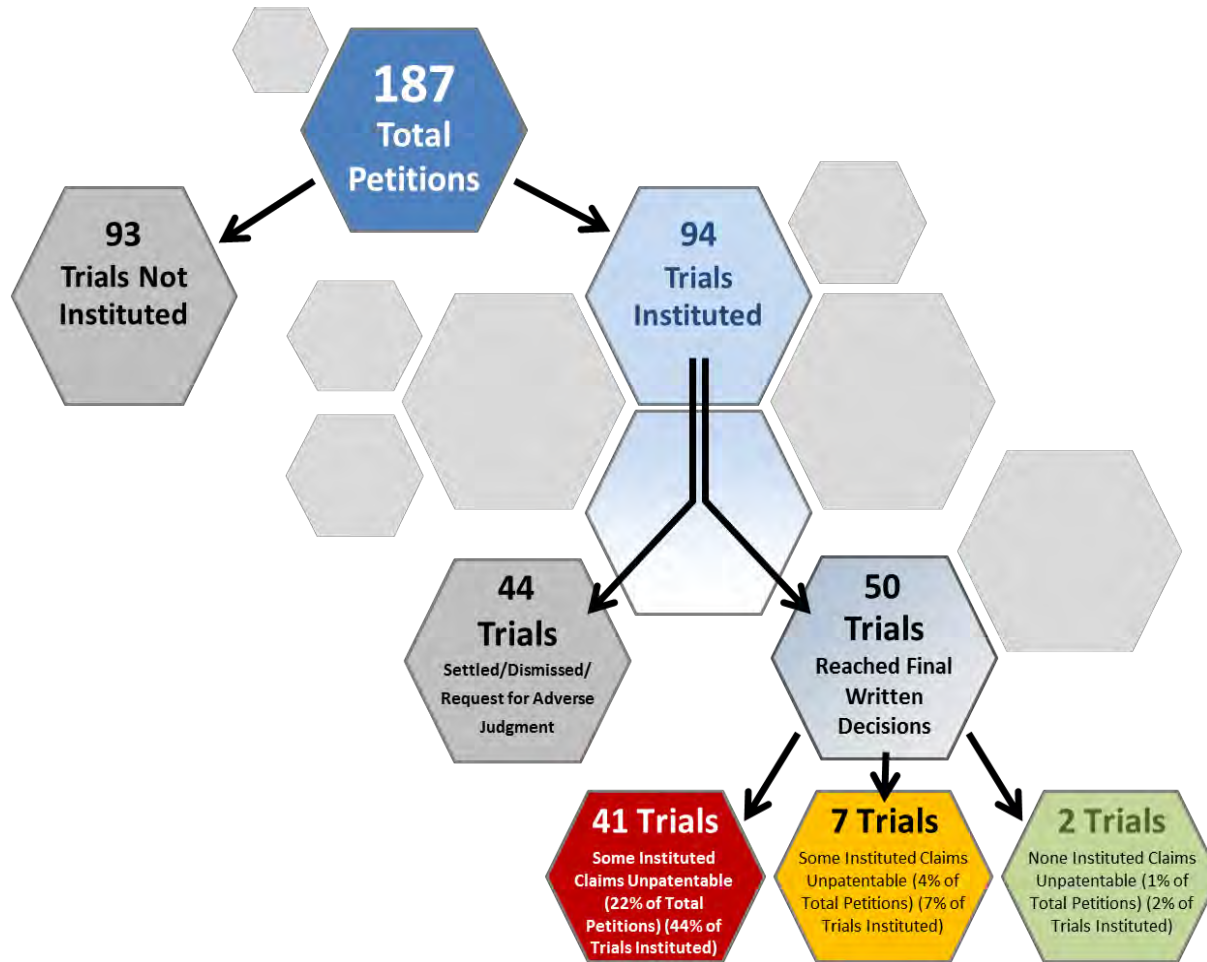
Narrative:

This graph shows a stepping stone visual of the total number of IPR petitions filed divided by trials not instituted and trials instituted. Of those trials that were instituted, the visual divides the trials by the those trials that have reached a final written decision and those trials that have settled, been dismissed or been disposed of by adverse judgment. Of the trials that had reached a final written decision, the visual divides the decisions by trials in which all claims were held unpatentable, trials in which only a portion of claims were held unpatentable, and trials in which no claims were held unpatentable.

Data current as of: 5/31/2015



Disposition of CBM Petitions to Date



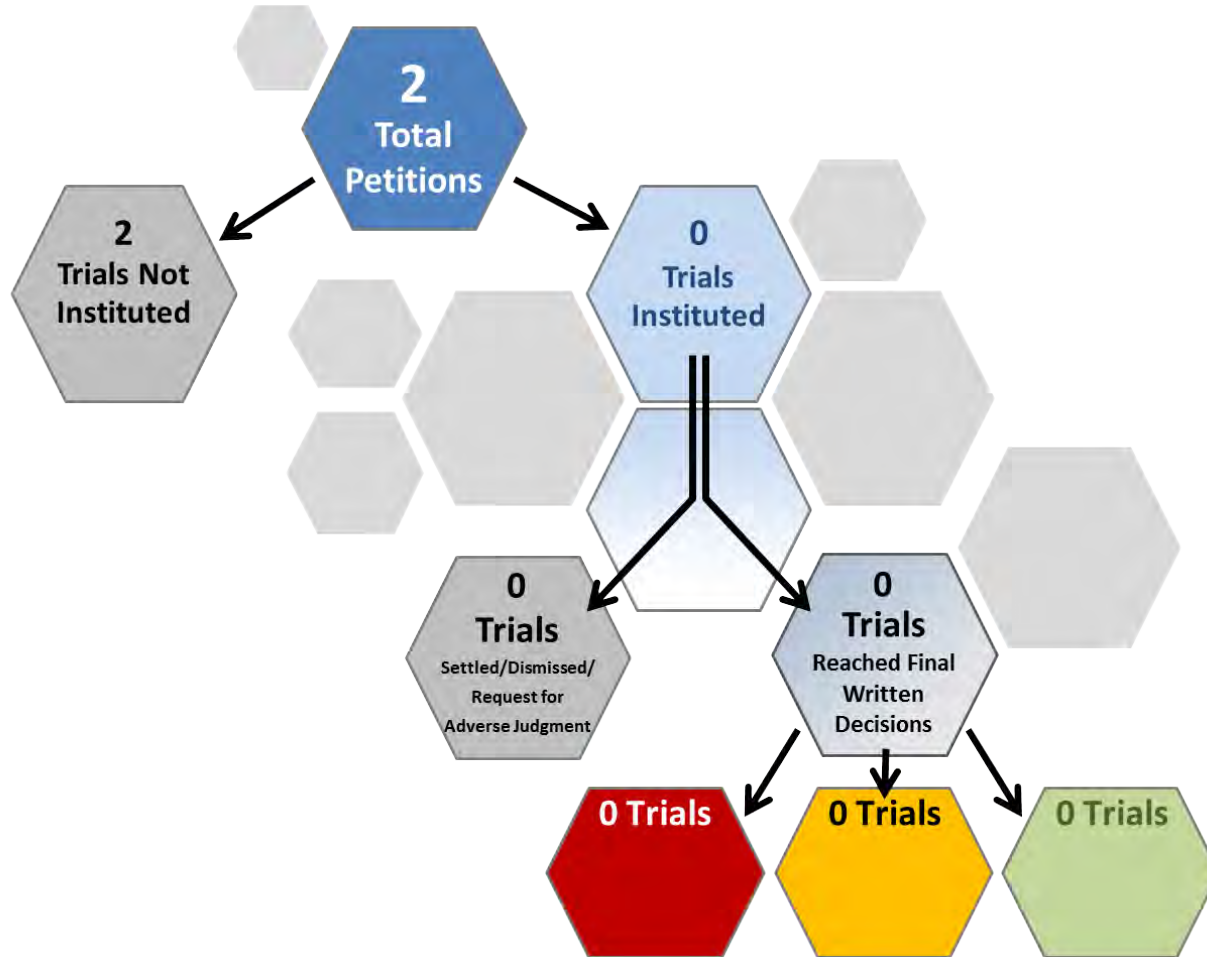
Narrative:

This graph shows a stepping stone visual of the total number of CBM petitions filed divided by trials not instituted and trials instituted. Of those trials that were instituted, the visual divides the trials by the those trials that have reached a final written decision and those trials that have settled, been dismissed or been disposed of by adverse judgment. Of the trials that had reached a final written decision, the visual divides the decisions by trials in which all claims were held unpatentable, trials in which only a portion of claims were held unpatentable, and trials in which no claims were held unpatentable.

Data current as of: 5/31/2015



Disposition of PGR Petitions to Date



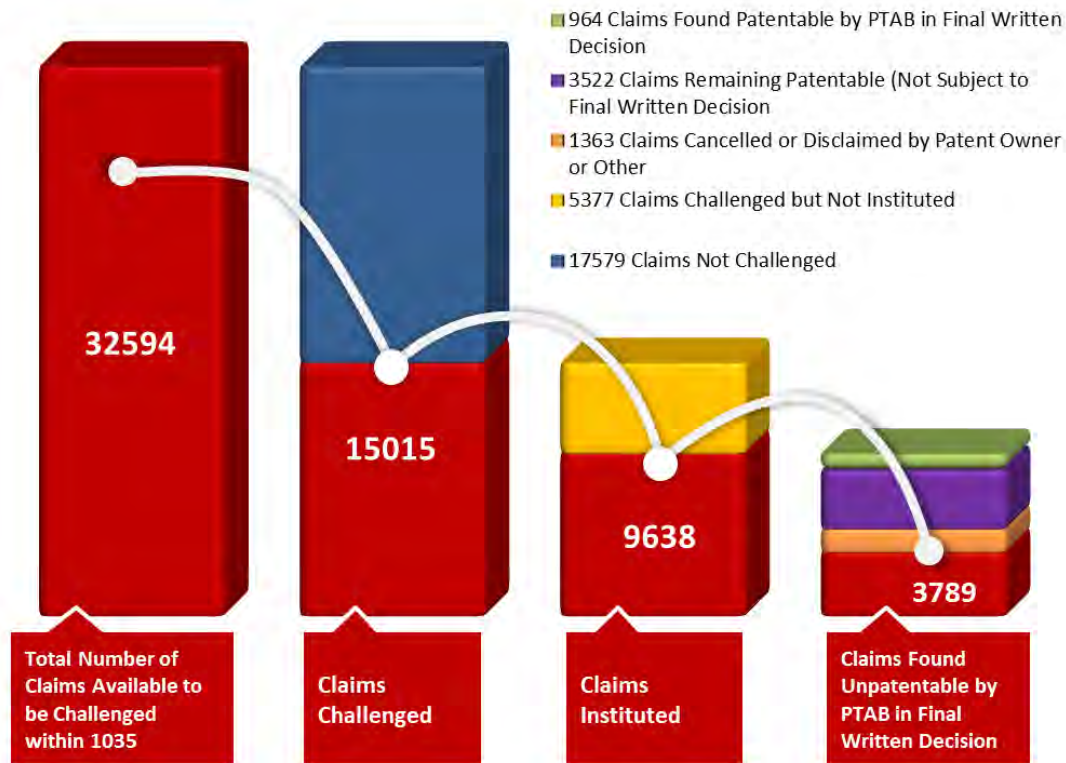
Narrative:

This graph shows a stepping stone visual of the total number of PGR petitions filed divided by trials not instituted and trials instituted. Of those trials that were instituted, the visual divides the trials by the those trials that have reached a final written decision and those trials that have settled, been dismissed or been disposed of by adverse judgment. Of the trials that had reached a final written decision, the visual divides the decisions by trials in which all claims were held unpatentable, trials in which only a portion of claims were held unpatentable, and trials in which no claims were held unpatentable.

Data current as of: 5/31/2015



IPR Petitions Terminated to Date



Narrative:

This visual contains four bars. The first bar shows the total number of claims available to be challenged in the IPR petitions filed. The second bar shows the number of claims actually challenged and not challenged. The third bar shows the number of claims on which trial was instituted and not instituted. The fourth bar shows the total number claims found unpatentable in a final written decision, the number of claims canceled or disclaimed by patent owner, the number of claims remaining patentable (not subject to a final written decision), and the number of claims found patentable by the PTAB.

Data current as of: 5/31/2015



CBM Petitions Terminated to Date



Narrative:

This visual contains four bars. The first bar shows the total number of claims available to be challenged in the CBM petitions filed. The second bar shows the number of claims actually challenged and not challenged. The third bar shows the number of claims on which trial was instituted and not instituted. The fourth bar shows the total number claims found unpatentable in a final written decision, the number of claims canceled or disclaimed by patent owner, the number of claims remaining patentable (not subject to a final written decision), and the number of claims found patentable by the PTAB.

Data current as of: 5/31/2015

