

Gerard F. Rogers Chief Administrative Trademark Judge Trademark Trial and Appeal Board



FY 2017 TTAB Performance Measures	FY 2016 EOY Results	FY 2017 Actual, Target or Projected	Through June 2017	Variance
JUDGES and ATTORNEYS				
Administrative Trademark Judges	24	(actuals) 24	24	On target
Interlocutory Attorneys	14.6	14.6	13.6	
FILINGS Notices of Appeal Extensions of Time to Oppose Notices of Opposition Petitions to Cancel	3,121 19,055 5,881 1,848		2,418 13,709 4,658 1,558	+3.3% -4% +5.6% +12.4%

FY 2017 TTAB Performance Measures	FY 2016 EOY Results	FY 2017 Actual, Target or Projected	Through June 2017	Variance
PRODUCTION-DECISIONS Cases Decided on Merits Precedential Decisions Issued Contested Motions Decided Uncontested Motions Processed	688 35 1,367 29,949	35-40 (target)	463 23 926 24,195	-10.3% On target -9.7% +7.7%
CUSTOMER SERVICE DESK Number of Calls Answered Number of Service Requests Quality of Call Responses	8,597 7,423 90.65%		7,491 6,613 96.41%	+16.2% +18.8% +6.4%

FY 2017 TTAB Performance Measures	FY 2016 EOY Results	FY 2017 Actual, Target or Projected	Through June 2017	Variance
(1) Measured from ready-for decision until mailing; average of orders on contested motions, excluding precedents, issued during reporting period (2) Age of single oldest contested motion ready for decision at end of reporting period	8.2 weeks 11.4 weeks	(targets) 8-9 weeks (avg.) 12 weeks or less	7.7 weeks 21.1 weeks	Better than target Above target
INVENTORY—Contested Motions Ready for Decision The number of cases with contested motions in which briefing was completed, becoming ready for decision, as of the end of the reporting period	117	Cases with Motions 145-175 (target)	111	Better than target

FY 2017 TTAB Performance Measures	FY 2016 EOY Results	FY 2017 Actual, Target or Projected	Through June 2017	Variance
PENDENCY- Final Decisions (Cancellations, Oppositions, Ex Parte Appeals) Measured from ready for decision date until mailing for final decisions, excluding precedents, in appeals and trial cases during reporting period	9.2 weeks	10-12 weeks (target)	7.7 weeks	Better than target
INVENTORY—Cases Ready for Final Decision The number of pending appeals and trial cases in which briefing was completed, or in which briefing and arguments were completed, thus becoming ready for decision on the merits, as of the end of the reporting period	Ex Parte Appeals 56 Oppositions 22 Cancellations 5	Total Case Inventory 130-160 (target)	Ex Parte Appeals 67 Oppositions 29 Cancellations 20	116 cases (Better than target)

FY 2017 TTAB Performance Measures	FY 2016 EOY Results	FY 2017 Actual, Target or Projected	Through June 2017	Variance
TOTAL PENDENCY Average total pendency, commencement to completion, excluding precedents				
Appeals (528 decided FY16; 352 in FY17)	39.7 weeks		38.5 weeks	-3%
Trial Cases (160 decided FY16; 111 in FY17)	154.3 weeks		158.9 weeks	+3%
ACR Trial Cases (23 decided FY16; 13 issued in FY17 and 4 assigned and in process)	98.4 weeks		101.2 weeks	+2.8%





IT Changes - January

- Expanded party email address fields
- More automated processing of extensions of time to oppose, notices of appeal, and notice of opposition when email for applicant on record



IT Changes - June

- Automatic institution of petitions to cancel where registrant has an "authorized" owner email address in TRAM (assuming no domestic representative of record).
- Current proceeding schedule will be available to TTAB internal users to view and update.



IT Changes - June

- When filing motion for summary judgment via ESTTA, filer will be prompted to answer questions to assess timeliness.
- When seeking review of Board decision in trial case via ESTTA, filer will be prompted to choose between Request for Recon or Appeal (and between Fed. Cir. or Dist. Ct.).



IT Changes - June

- New proceeding schedule deployed in TTABIS for internal TTAB use.
- Will help improve the consent motion filing process, determinations of timeliness for various motions.
- Ultimate goal is to make current schedule available in TTABVUE.







New Cancellation Proceeding?

- May establish a streamlined version of cancellation proceeding for handling abandonment and nonuse claims
- Goal to improve accuracy of the use-based register; responsive to stakeholder requests for option to clear deadwood



New Cancellation Proceeding?

- Request for Comments published May 16
- https://www.federalregister.gov
- 82 FR 22517
- Comments due August 14, 2017
- Email to: <u>TTABFRNotices@uspto.gov</u>
- Comments posted at <u>www.uspto.gov</u>
- Stakeholder Roundtable September 25 uspto

New Cancellation Proceeding?

- Rooted in existing law; no statutory changes needed
- Limited to assertion of two claims:
 - abandonment (nonuse + no intent to resume) and/or
 - no use for all/some goods/services prior to 1(a) filing date, or AAU filing date, or SOU filing date

Pleading with Proof

- Petition must allege facts with particularity re: standing & ground and be <u>supported</u> <u>by evidence</u> (e.g., declaration regarding unsuccessful search for use of mark)
- Respondent <u>answer</u> due in 40 days; defenses limited; <u>with proof of use or</u> <u>excusable nonuse</u>, responsive to petition



Reply? Withdraw? Convert?

- Petitioner has 40 days to elect:
 - ➤ Reply with rebuttal evidence, then 90 days to TTAB decision;
 - ➤ <u>Withdraw</u> without prejudice to later filing of petition on other grounds;
 - Convert to full cancellation proceeding with additional pleadings, discovery, trial

Process Provisions

- Respondent, separate from answer, may seek discovery on petitioner standing
- No stay of deadlines for answer, petitioner options to reply, withdraw, convert
- Discovery permitted only if it appears could be outcome determinative; TTAB would set schedule for discovery, motion

Additional Timing Issues

- Respondent default could result in judgment in approximately 70 days
- One extension per party (for answer, reply)
- Suspensions rare, and for court litigation
- Decision on merits could issue in 170 days



Questions and Comments

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