UNITED STATES
PATENT AND TRADEMARK OFFICE



# Patent Public Advisory Committee Quarterly Meeting

#### Reexaminations, Reissues & Supplemental Examination in the Central Reexamination Unit

John Cottingham

Director, Central Reexamination Unit



#### **Central Reexamination Unit (CRU) Staff**

- 1 Director
- 10 Supervisory Patent Reexamination Specialists (SPRS)
- 79 Reexamination Specialists
  - 15 Chemical
  - 49 Electrical
  - 15 Mechanical
- Technical Support
  - One Supervisory Paralegal Specialist
  - 7 Paralegals
  - 3 Legal Instrument Examiners (LIE)



### **CRU Proceedings**

 All utility patent ex parte Reexamination Proceedings (EP) and Supplemental Examination Proceedings (SE).

All reissue applications filed on utility patents.

• Legacy *inter-partes* Reexamination Proceedings (*IP*)



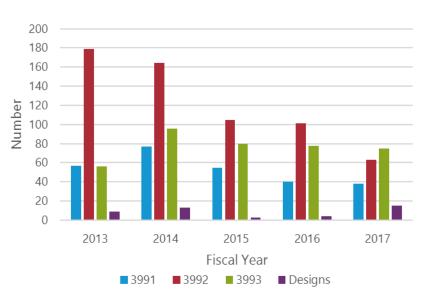
# Inter-Partes Reexamination, Supplemental Examination and the America Invents Act

- With the implementation of the America Invents Act (AIA) in September 2012, *inter partes* reexamination proceedings became no longer available and were replaced with *interpartes* review proceedings.
- The passage of the AIA also introduced the Supplemental Examination proceeding as a mechanism for Patent Owners to have information considered, reconsidered or corrected in an issued patent.

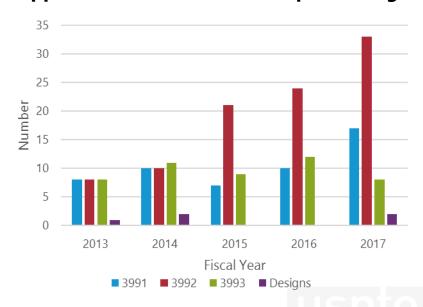


# **Ex Parte** Reexamination and **Supplemental Examination Filings**

#### **Ex Parte Reexamination Request Filings**

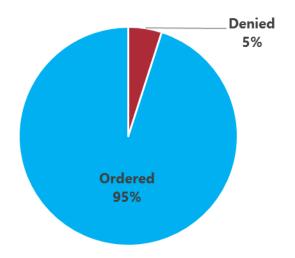


#### **Supplemental Examination Request Filings**

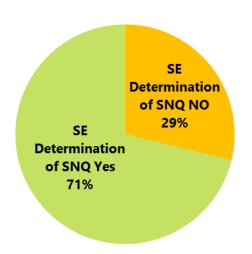


### Findings of Substantial New Questions (SNQ) of Patentability (2013- 2018)

Disposition of *Ex Parte* Reexamination Requests



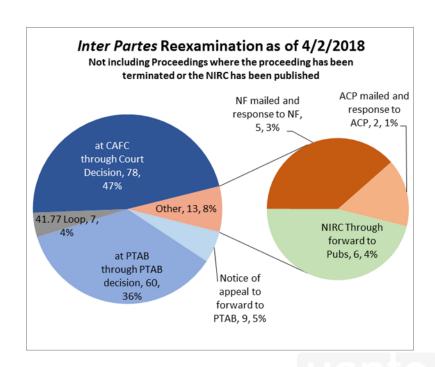
#### Disposition of Supplemental Examination Requests



Data as of 4/2/2018

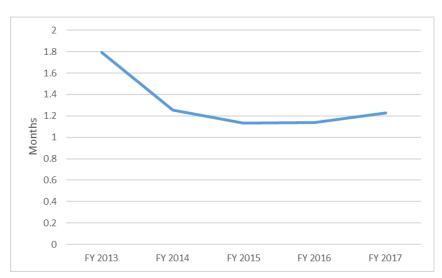
### Legacy Inter Partes Reexaminations

- 167 inter-partes
   Reexamination
   proceedings are currently
   still pending
- 7 are before CRU, the remaining proceedings are awaiting disposition by the PTAB or CAFC.

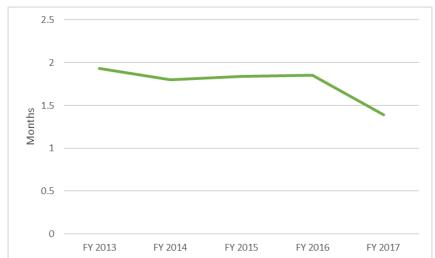


## Time to Determination of *Ex Parte* Reexamination Proceedings and Supplemental Examination Certificate

Average Time to *Ex Parte* Reexamination Determination (Order Granting/Denying Reexamination)



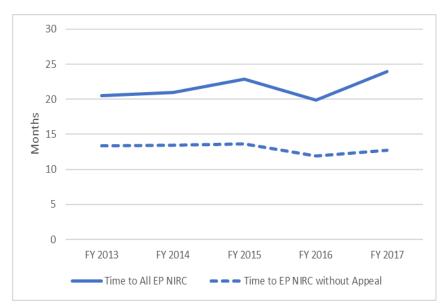
Average Time from Filing to Issuance of Supplemental Examination Certificate (SNQ Yes/No)





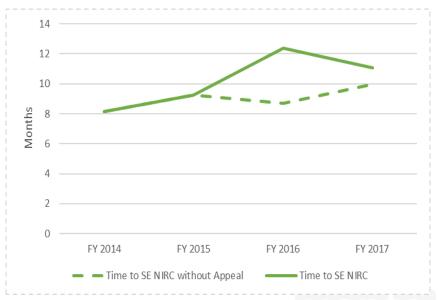
# Time to Conclusion of *Ex Parte* Reexamination Proceedings and Supplemental Examination Requests

Average time to ex parte Reexamination (EP) NIRC



EP without appeals average 13 months to NIRC

Average time to *ex parte* reexamination NIRC based on Supplemental Examination (SE)



SE without appeals average 9 months to NIRC

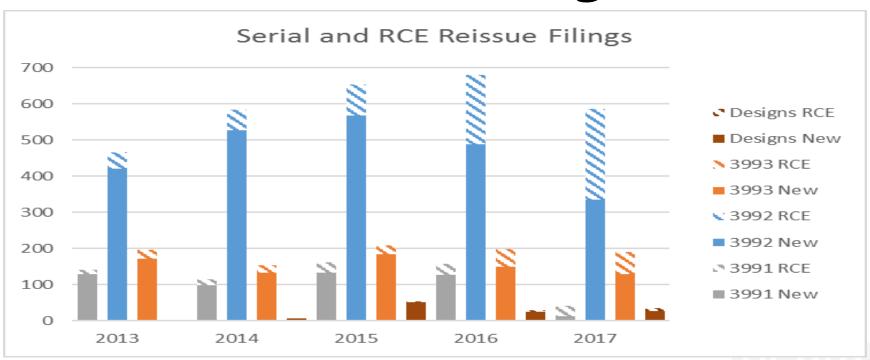
### Reissue Applications Before the CRU

• The CRU assumed responsibility for examination of all reissue applications in spring 2015.

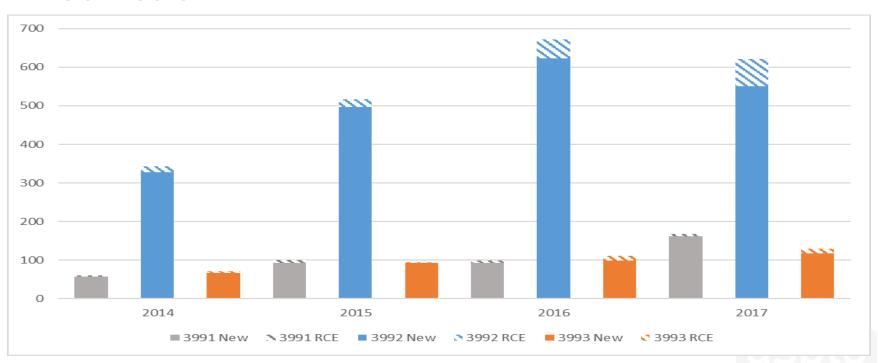
 The purpose of bringing reissue applications under the purview of the CRU was to provide consistent and quality handling of all reissue applications.



## Reissue Filings



# **Utility Patent Reissue Inventory Awaiting First Action**



### **Questions and Comments**

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