

July 7, 2016

9. Appeal Related Documents

### 9.1 Filing A Notice of Appeal in PTAB

To File a Notice of Appeal in PTAB E2E

- Go to My Docket
- Click on the All AIA Reviews tab
- Enter the AIA Review number in the Filter box (the results will automatically appear)

me > My Docket													
ly Docke	et												
AIA Reviews 1	Notification	s 203	As Patent Owner	Moti	ions 0 Rehearings 0	AIA	Appeals 1						
											Filter IPR2015-82260	٩	🛓 Export
AIA Review	ı# <b>♦</b>		Filing Date	¢	Patent #	¢	Application #	¢	Petitioner Name	¢	Patent Owner 🔶		Status
IPR2015-82	260	09/18/2	2015		4567211		06707761		Automation, Ike		OBERSTER et al	Final Writte	n Decision

Figure 1: AIA Review details

### Open the AIA Review

- Go to AIA Review Actions Menu
- Select File an Appeal

				Warning History
Patent Number: 4567211	Trial Type: Inter Partes Review	Tech Center: 1500	Art Unit: 1501	View Appeals
The Writer Decision				View Motions View Rehearings
tatus: Final Written Decision				File an Appeal
AA NEVIEW IF N2013-02200				File a Rehearing Request
AIA Review IPR2015-82260				File a Motion
				AIA Review Actions - File a Preliminary Response







July 7, 2016

Appeal document upload:

- Filing Party
- Appeal Type
- Filing Date

Home > My Docket > AIA Review IPR2015-82260 > File Appeal



Appeal Request For AIA Review No : IPR2015-82260

Cancel Next

* Filing Party	Select a Party Type	•
* Appeal Type	Select Appeal Type	•
* Filing Date	MM/DD/YYYY	

Figure 3: File Appeal

## Appeal Request For AIA Review No : IPR2015

* Filing Party	Select a Party Type	•
* Appeal Type	Select Appeal Type	•
* Filing Date	MM/DD/YYYY	

Figure 4: Detailed view of File Appeal

Cancel

Next

Figure 5: Filing Party type







* Filing Party	Petitioner	T
* Appeal Type	Select Appeal Type	•
	Select Appeal Type AIA REVIEW APPEAL	
* Filing Date	Others	
	Figure 6: Appeal Type selection	

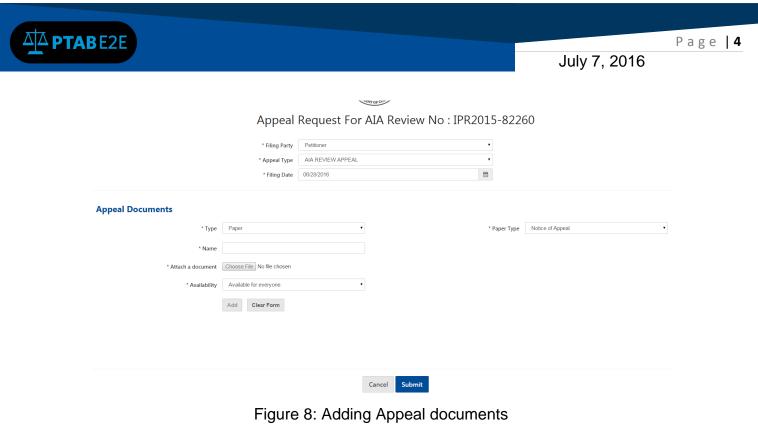
* Filing Party	Petitioner			•
* Appeal Type	AIA REVIEW APPEAL			•
* Filing Date	06/28/2016			<b>**</b>
		Cancel	Next	

Figure 7: File an Appeal screen

**Appeal Documents** 

- Type: Paper
- Paper Type: Court Decision, Court Mandate, Notice, Notice of Appeal
- Name document name
- Attach a document
- Availability
- Click on the "Add" button





### Paper Type options

* Paper Type	Notice of Appeal
	Select a paper type
	Court Decision
	Court Mandate
	Notice
	Notice of Appeal

### Figure 9: Paper Type options

### Enter document metadata:

* Туре	Paper •	
* Name	Notice of Appeal to CAFC	
* Attachment	074-PET-notice of appeal.pdf	Đ
* Availability	Available for everyone.	
	Add Clear Form	

Figure 10: Document metadata

ΔΔ ΡΤΑΒΕ2Ε			July 7, 2016	Page   5
Click on the "Add" button				
* Туре	Paper	* Paper Ty	pe Notice of Appeal	¥
* Name	Notice of Appeal to CAFC			
* Attachment	074-PET-notice of appeal.pdf	Ê		
* Availability	Available for everyone.			

### Figure 11: Adding the Documents

Warning message appears to keep document public - select the "Keep it Public" button to continue

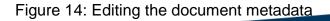


Figure 12: Document availability warning

The document data will appear in the table below

Add Clear Form

Name	Туре	Attachment	Number	Paper Type	Filing Date	Filing Party	Availability	Actions
tice of Appeal to CAFC	PAPER	074-PET-notice of appeal.pdf	3	Notice of Appeal	06/28/2016	petitioner	PUBLIC	/
		F	igure 13	: Docume	nt added			
	Click	on the "blu	ie pencil"	ic	on to edit	the meta	tdata	
Appeal Doo	cuments							
	•1	ype Paper		•	* p	Notice of Appeal		•
	۲۰ ۱۳			•	* p	Notice of Appeal		•
		ame		•	• p	Notice of Appeal		•
	* N.	ame Choose File No file chosen		•	* p	Notice of Appea		•
	" Na " Attach a docum	ent Choose File No file chosen			- p	aper Type Notice of Appen		•
Name	" Na " Attach a docum	ame Choose File No He chosen Bity Available for everyone.	Number		* p Filing Date	Ring Party	Availability	• Actions





July 7, 2016

The highlighted fields can be edited prior to the clicking on the "Submit" button.

Edit – in line

			Type Attach APER 074-PET-r appea	notice of	Paper Type Notice of A		Party Availability	Actions		
				Figure	15: Edit meta	adata				
Click or	n the	blue "Sav	ve" 🖪 b	outton						
To view	the	Appeal in	formation f	or the AIA R	eview					
		Docket > AIA Review IPR2015-1								
	Арре	als For IPR20	015-82260							
	All Appeal	S					<b>&amp; Export</b> Filter by			
	Filing Da		AIA Review Number IPR2015-82260	Filing Party     PETITIONER	Appeal Type     AIA REVIEW APPEAL	Appeal Status Initiated	♦ Actions View Documents View	w Details		
	06/28/20		IPR2015-82260	PETITIONER	AIA REVIEW APPEAL	Awaiting Decision		w Details		
-		lick on the	e "View Doo wnload" 🗖		iew Documents document.	button, you	will see the do	ocument ar		
	Doc	uments foi	r AIA Review	w No. IPR201	5-82260			×		
		Document Name	Document Type	Exhibit/Paper Number	Filing Date	Filing Party	Availability			
	1	Notice of Appeal to CAFC	PAPER	3	06/28/2016	petitioner	PUBLIC	ownload		
	Figure 17: Download documents									



Ф РТАВЕ2	E						July 7, 2		age <b>  7</b>
When you clic AIA Review c	View Deta	buttor	n, you will	see the A	•	etadata fo	r the		
		Appeal	Request For	AIA Review I	No : IPR2015	-82260			
		Filing Party	PETITIONER						
		Appeal Type	AIA REVIEW APPEAL						
			06/28/2016						
		Decision By	Select a Court		۲				
Appeal I	Documents								
	* Туре	Paper	•	•	* Pa	aper Type Notice of App	al	¥	
	* Name								
	* Attach a document	Choose File No file chosen							
	* Availability	Available for everyone.		•					
		Add Clear Form							
Nar	пе Туре	Attachment	Number	Paper Type	Filing Date	Filing Party	Availability	Actions	1
Notice of A CAP		074-PET-notice of appeal.pdf	3	Notice of Appeal	06/28/2016	petitioner	PUBLIC		
				Cancel Submit					

Figure 18: Appeal metadata for AIA Review

### 9.2 To File a Court Decision in PTAB E2E

- Locate the AIA Review case from My Docket on the AIA Appeal tab
- Click on the AIA REVIEW APPEAL (in the Type column)

# Home> My Docket

AIA Reviews 1344 Notification	ns 205 As Patent Owner Mot	ions 66 Rehearings 69	AIA Appeals 69				
					F	lter	Export
AIA Review #	♦ Type	♦ Filing Pa	arty 🗢	Filing Date	✓ Status	♦ Documents	
IPR2015-82260	AIA REVIEW APPEAL	PETITIO	NER	06/28/2016	Awaiting Decision	view	
IPR2016-82516	AIA REVIEW APPEAL	PETITIO	NER	06/27/2016	Initiated	view	
IPR2016-82566	AIA REVIEW APPEAL	PETITIO	NER	06/27/2016	Initiated	view	

Figure 19: AIA Review Appeal

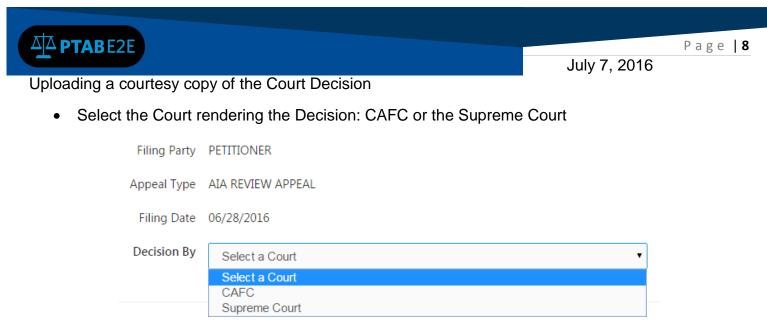


Figure 20: Upload additional documents

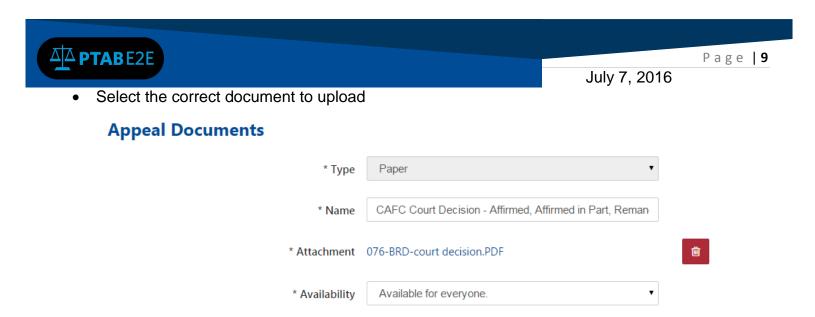
• Enter the metadata for the Court Decision:

Appeal Documents			
* Туре	Paper	* Paper Type	Court Decision •
* Name	CAFC Court Decision - Affirmed, Affirmed in Part, Reman		
* Attachment	076-BRD-court decision.PDF	<b>B</b>	
* Availability	Available for everyone.		
	Add Clear Form		
	Figure 21: Cou	rt decision metadata	

• If the wrong document was selected choose the red trash can

### **Appeal Documents**

* Туре	Paper •
* Name	CAFC Court Decision - Affirmed, Affirmed in Part, Reman
* Attach a document	Choose File No file chosen
* Availability	Available for everyone.
	Add Clear Form
Figure 22: Atta	ached document deleted



### Figure 23: Appeal document added

Clear Form

Add

• Click on the "Add" button

### And the information will appear in the table below

Name	Туре	Attachment	Number	Paper Type	Filing Date	Filing Party	Availability	Actions
Notice of Appeal to CAFC	PAPER	074-PET-notice of appeal.pdf	3	Notice of Appeal	06/28/2016	petitioner	PUBLIC	
CAFC Decision - Remand	PAPER	076-BRD-court decision.PDF	4	Court Decision	06/28/2016	petitioner	PUBLIC	



### Figure 24: Detailed view of added documents

• Click on the "Submit" button

### To view the Appeal tab of the AIA Review

Home > My Docket > AIA Review IPR2015-82260 > View All Appeals

### Appeals For IPR2015-82260

All Appeals						4	<b>Export</b> Filter by			
Filing Date	♦ AIA Review	Number	Filing Pa	ırty 🔶	Appeal Type	÷	Appeal Status	÷	Actions	
06/05/2016	IPR2015-822	60	PETITIO	NER	AIA REVIEW APPEAL		Initiated		View Documents	View Details
06/28/2016	IPR2015-822	60	PETITIO	NER	AIA REVIEW APPEAL		Awaiting Decision		View Documents	View Details



Figure 25: Appeals tab in AIA Review