Notice: Section 508 of the Workforce Investment Act of 1998 requires that all U.S. Federal Agencies make their websites fully accessible to individuals with disabilities. See 29 U.S.C. §794d. While the Trademark Electronic Application System (TEAS) forms do comply with Section 508, the form previews currently do not meet all standards for web accessibility. If you cannot access a TEAS form preview or have any questions about this notice, please contact the Trademark Assistance Center (TAC) at 1-800-786-9199 (select option#1), Monday-Friday, 8:30 a.m. to 8 p.m., ET.

PTO-1482

Approved for use through 07/31/2021. OMB 0651-0009 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number

## **Collective Trademark/Service Mark Form, Principal Register**

### Version 7.6

### Watch the "Before you file" TMIN video for an overview of the most important issues to be aware of when filing a trademark application with the USPTO.

To file the application electronically, please complete the following steps:

- 1. Answer the first question below to create an application form showing only sections relevant to your specific filing.
- 2. For help at any point, click on any underlined word on any page.
- 3. After answering the first wizard question, click on the CONTINUE button at bottom of the page.
- 4. Once in the actual form, complete all fields with a \* symbol, since they are mandatory fields for TEAS filing purposes.
- 5. Validate the form, using the "Validate" button at the end of the form. If there are errors, return to the form to make the correction. A "Warning" may be corrected or by-passed.
- 6. Double-check all entries through the links displayed on the Validation page.
- 7. You may save your work for submission at a later time by clicking on the Save Form button at the bottom of the Validation page.
- 8. When ready to file, use the Pay/Submit button at the bottom of the Validation page. This will allow you to choose from three (3) different payment methods: credit card, automated deposit account, or electronic funds transfer.
- 9. After accessing the proper screen for payment, and making the appropriate entries, you will receive a confirmation screen if your transmission is successful. This screen will say SUCCESS! and will provide vour assigned serial number.
- 10. An email acknowledging receipt of the submission (a filing receipt) will be sent to the correspondence email address of record.

Once you submit this application, the USPTO will not cancel the filing or refund your fee. The fee is a processing fee, which we do not refund even if we cannot issue a registration after our substantive review.

IMPORTANT: After this application is submitted, the USPTO will send a filing receipt to the email address of either (A) the appointed attorney, if any, otherwise (B) the trademark applicant owner/holder.

### **CONTACT:**

For general trademark information or help navigating the forms, email TrademarkAssistanceCenter@uspto.gov, or telephone 1-800-786-9199 (press 1).

If you need help resolving technical glitches, email TEAS@uspto.gov. Please include in your email your phone number, serial or registration number, and a screenshot of any error message you may have received.

STATUS CHECKS: The status of the filing is available in the Trademark Status & Document Retrieval System (TSDR) 7-10 days after filing.

TIMEOUT WARNING: You're required to log back in after 30 minutes of inactivity. This ensures the USPTO complies with mandatory federal information security standards and protects user information. After 25 minutes of inactivity, you will be prompted to continue your session. If you do not continue within 5 minutes, the session will end, you will be logged out of your USPTO.gov account, and you will lose any unsaved data in the form. Please have all of your information ready before you start.

### 1. Is an <u>attorney</u> filing this application?

Foreign-domiciled owners/holders must have a U.S.-licensed attorney represent them before the USPTO in any application- or registration-related filing. Information about hiring a U.S.-licensed attorney can be found on the USPTO website.

• Yes • No

2. [OPTIONAL] To upload a previously saved form file, first review the USPTO's <u>TEAS Help instructions for accessing previously saved data</u> and then use the "Browse..." button below to access the form file saved on your computer.

**WARNING:** Failure to follow the TEAS Help instructions will result in the inability to edit your data.

Important: Do NOT use the button below to upload an image file (for example, a specimen). You must use the button that will be presented for that purpose within the proper section of the actual form

### **Applicant Information**

Note: If there is more than one owner of the trademark, complete the applicant information for the first owner, and then click on the "Add Owner" button at the bottom of this page. Repeat for each owner. You must specify whether the multiple applicants are joint applicants or some other entity type listed below.

* <u>Owner of Mark</u>	[If an individual, use the following format: Last Name, First Name Middle Initial or Name, if applicable]
<ul> <li>DBA (doing business as)</li> <li>AKA (also known as)</li> <li>TA (trading as)</li> <li>Formerly</li> </ul>	
Entity Type	
O <u>Individual</u>	
• Corporation	
<b>C</b> <u>Limited Liability Company</u>	
O <u>Partnership</u>	
O Limited Partnership	
O Joint Venture	<== Click the appropriate circle on the left to indicate the applicant's entity type. The form will then display the field appropriate circle on the left to indicate the applicant's entity type. The form will then display the field appropriate circle on the left to be applied appropriate circle on the left to be ap
O <u>Sole Proprietorship</u>	corresponding to that specific entity type. If your entity type is not one of the options displayed directly to the left, you the appropriate entry from the relevant pull-down box.
O <u>Trust</u>	
O <u>Estate</u>	
O <u>Other</u>	
Internal Address	

eld(s) for entering information you must click on "Other" and then select

* <u>Mailing Address</u>	
Entered address is publicly viewable in the USPTO's <u>TSDR</u> database. This address mus be capable of receiving mail and may be you street address, a P.O. box, or a "care of" address.)	
* <u>City</u>	<b>NOTE:</b> You must limit your entry here to no more than 22 characters.
* <u>State</u> (Required for U.S. applicant owners/holders	NOTE: You must include as part of the "City" entry any information related to geographical regions (e.g., provinces) "Countries." Enter the city and then the geographical region, separated by a comma (e.g., Toronto, Ontario). In most is country within which the region is found, below.
* <u>Country/Region/Jurisdiction/U.S.</u> Ferritory	
* <mark>Zip/Postal Code</mark> (Required for U.S. and certain international addresses)	
Domicile Address Entered address is not publicly viewable in the USPTO's TSDR database unless it is the same as the mailing address. If your mailing address is not your domicile, you must enter the number, street, city, state, country or U.S. territory, and if applicable, zip/postal code of your <u>domicile</u> address. In most cases a P.O. box, "care of" address, or similar variation is not acceptable as a domicile address.)	Indicate place you reside and intend to be your principal home (for individual) or your principal place of business (for
Phone Number	Ext.
Fax Number	
* <u>Email Address</u>	The applicant owner/holder is required to provide an email address and keep that address current with the USPTO. If U.Slicensed attorney, only the attorney's email address will be used for correspondence by the USPTO.
Website address	

characters (the storage limit for the USPTO deliverable address, due to truncation at the 40

ees) not found in the dropdown lists for "States" or ost instances, you will then also have to select the

(for entity).

. If the applicant owner/holder is represented by a

	Mark Information
Watch the <u>TMIN instructional video on the importance</u> legal review. <b>Registration of your Mark is NOT guar</b>	of conducting a search of existing trademarks or service marks before filing your application. The USPTO will conduct contends of the conduction of the second conduction of the conduct condu
Instructions:	
(1) Enter your <u>Mark</u> below. Only <b>one Mark</b> can be enter	ered. Entry of multiple Marks may result in denial of a filing date or refusal of registration
(2) Identify the type of Mark you are submitting by sele	cting the correct radio button:
	vle, size, and/or color; nbined with a design, or is displayed in a particular font style, size, and/or color; or d an audio file, as well as a detailed Mark description.
(3) Follow the specific instructions that will appear after mark.	r the Mark type radio button is selected. The form will automatically create a separate section for entry of your Mark o
	to ensure that it accurately depicts or represents your mark. You may not be permitted to <u>change or correct your mark</u> ges that result in a <u>material alteration</u> of the mark as originally filed will result in the USPTO issuing a refusal on that
Watch the TEAS Nuts and Bolts <u>video explaining ho</u>	w to fill out the Mark Information page.
• Select a radio button to indicate the Mark type: C	Standard Characters standard character mark <b>cannot</b> be changed to add stylization and/or design elements after submission of the applicat Special Form ( <i>Stylized and/or Design</i> ) Sound mark
Watch the <b>TMIN</b> instructional video on drawing issu	<u>1es</u> .

Enter the Mark here: (Note: The entry can be in capital letters, lower case letters, or a combination thereof. Do not include the TM, SM, ®, or © symbols after the mark entry, because they are not part of the actual mark. If using Internet Explorer, the entry cannot exceed 2036 characters; otherwise, you must switch to another browser.)



**NOTE**: For information about mark display in USPTO databases, click <u>here</u>

iduct its own <u>database search</u> and complex

k or attachment of an audio file for a sound

<u>rk</u> after filing this application. While minor at ground.

cation.

### Standard Characters

A standard character mark **cannot** be changed to add stylization and/or design elements after submission of the application.

۲ Special Form (Stylized and/or Design)

O Sound mark

### Watch the TMIN instructional video on drawing issues.

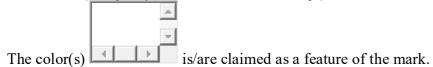
Click on the "Browse/Choose File" button to select a properly-sized JPG image file (the only accepted format) from your local drive. This image should show the mark exactly as you would wish the mark to appear on your registration certificate, if the mark registers. If you are claiming color, you must submit a color image; otherwise, the image must be clear black and white. After the file name appears in the window, click on the "Attach" button to upload the file into the application. A "thumbnail" version of the image will then display directly within the form.

NOTE: The image files for, respectively, the mark and the specimen (if filing under Section 1(a), use in commerce, and showing actual use in commerce of the mark at the time of this filing) should NOT be the same files (or, even if different files, should **not** display essentially the exact same thing). The mark image file should **ONLY** show the mark by itself, and **not** a representation of how the mark is used on the overall packaging for the goods or within an advertisement for services, for example. On the other hand, an image file that shows the complete package for the goods or a full advertisement for the services, with the mark clearly displayed thereon or within, would be an appropriate attachment for a specimen in the later "basis" section of the form (which only appears where a Section 1(a) filing basis is being claimed).

### For any image that also includes a word(s), letter(s), punctuation, and/or number(s), enter the LITERAL ELEMENT only of the mark here:

NOTE: Do NOT enter any word(s), letter(s), punctuation, and/or number(s) that do not appear in the attached image file. Leave this space blank if your mark consists only of design elements. The image file **must** include all elements of the mark; *i.e.*, if your mark consists of a design and word(s), letter(s), punctuation, and/or number(s), the image file must include all of these elements. Any entry in the literal element field that is not found in the attached image file will **not** be considered part of the mark.

\* If claiming color as a feature of the mark, list the colors below, including black and/or white if actual "colors" within the mark (e.g., enter red, white, and blue). Begin the entry with a lower-case, NOT an uppercase, letter. (Entry required for color marks only.)



Check this box if you are NOT claiming color as a feature of the mark. NOTE: Check *only* if you believe your image is black and white, yet you received after clicking the "CONTINUE" button a WARNING about color within the mark (perhaps because the image consists of too much grayscale); otherwise, do not check this box, because the attached image was automatically accepted as black and white.

\* Enter a complete and accurate description of the entire mark below, being sure to include ALL literal elements and/or design elements that are found in the attached mark image, but NOT including any element not appearing in the image. If a color mark, you must specify the color(s) that are part of the mark, including black and white, and also state the location thereof in the mark image. The mark consists of: (do NOT repeat this language)



## . (end period is automatic)

NOTE: A description of the mark is required for ALL marks that are in a special form or a sound/motion mark (i.e., for any mark not in standard characters). You must to enter a description even if what the mark represents is immediately clear, e.g., "the letter C." Also, for any color mark, the description of the mark must include the nature and location of the color; i.e., you must specifically state where each color is located within the mark, *e.g.*, "a bird with a red body, blue wings, and yellow beak."

NOTE: Do NOT include as part of the description either the words "The mark consists of" or a final period, because that introductory wording and the punctuation will automatically be added after validation; otherwise, the overall description will have improper repetitions. Also, begin the entry with a lower-case, NOT an upper-case, letter.

### 0 Standard Characters

A standard character mark **cannot** be changed to add stylization and/or design elements after submission of the application.

- e: 🔿 Special Form (*Stylized and/or Design*)
  - ۲ Sound mark

### Watch the TMIN instructional video on drawing issues.

### Click on the "Browse/Choose File" button to select the sound/motion file (.WAV, .WMV, .WMA, .MP3, .MPG, or .AVI file) from your local drive.

NOTE: The attached file should ONLY show the mark by itself, and should NOT be a representation of how the mark is or may be used, *e.g.*, on the overall packaging for the goods or within an advertisement for services. Except in rare circumstances, the attached file should not be the same as the specimen image file (when filing under basis Section 1(a), use in commerce).

### For any of the design mark that also includes a word(s), letter(s), punctuation, and/or number(s), enter the LITERAL ELEMENT only of the mark here:

NOTE: Do NOT enter any word(s), letter(s), punctuation, and/or number(s) that do not appear in the attached file. Leave this space blank if your mark consists only of design elements. The file must include all elements of the mark; *i.e.*, if your mark consists of a design and word(s), letter(s), punctuation, and/or number(s), the image file must include all of these elements. Any entry in the literal element field that is not found in the attached file will **not** be considered part of the mark.

\* Enter a complete and accurate description of the entire mark below, being sure to include ALL literal elements and/or design elements that are found in the attached mark image, but NOT including any element not appearing in the image. If a color mark, you must specify the color(s) that are part of the mark, including black and white, and also state the location thereof in the mark image. The mark consists of: (do NOT repeat this language)



### . (end period is automatic)

NOTE: A description of the mark is required for any mark not in standard characters. You must to enter a description even if what the mark represents is immediately clear, *e.g.*, "the letter C." Also, for any color mark, the description of the mark must include the nature and location of the color; i.e., you must specifically state where each color is located within the mark, e.g., "a bird with a red body, blue wings, and yellow beak."

NOTE: Do NOT include as part of the description either the words "The mark consists of" or a final period, because that introductory wording and the punctuation will automatically be added after validation; otherwise, the overall description will have improper repetitions. Also, begin the entry with a lower-case, NOT an upper-case, letter.

The "Additional Statement" section of this form is used to enter various legal or informational statement(s) that may pertain to the mark; for example, a disclaimer or English translation. You are not required to enter any of these statement(s) at the time of filing; however, you may be required to add a statement(s) to the record during examination of the application. If you are unsure whether you should make such a statement, the examining attorney assigned to your application will issue a requirement, if appropriate.

~	Check here to dis	splay the full	listing of additional	l statements from	which you ma	y make your selectio	on.
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Additional Statement
<ul> <li>To select a statement, enter any required information specific to your mark (or, for some statements, check the box in front of the statement). If, after making a selec you must click on the box that produced this section of the form to "uncheck" it, and the entire "Additional Statement" section below will be removed.</li> <li>NOTE:For an instructional video on the Additional Statements section of the form, <u>click here</u>.</li> </ul>
<b>WARNING:</b> Additional statements are not commonly used and are for special circumstances that only exist in certain applications. Selecting items that do not apply may d application. NOTE: As a general rule, for disclaimers, translations and transliterations, consents, or an explanation of the mark's significance, include only words that are in boxes below.
<b>DISCLAIMER</b> : No claim is made to the exclusive right to use apart from the mark as shown.
ACTIVE PRIOR REGISTRATION(S): The applicant claims ownership of active prior U.S. Registration Number(s), , , ,
and others: Check here to indicate there are additional active prior U.S. Registration Number(s).
TRANSLATION:
The English translation of in the mark is .
The wording has no meaning in a foreign language.
TRANSLITERATION: (NOTE: Not required for any standard character marks.)
The non-Latin characters in the mark transliterate to and this means in English.
The non-Latin characters in the mark transliterate to and this has no meaning in a foreign language.
MEANING OR SIGNIFICANCE OF WORDING, LETTER(S), OR NUMERAL(S):
appearing in the mark means or signifies or is a term of art for in the relevant trade or industry or as used in connection with the goods/services listed in
appearing in the mark has no significance nor is it a term of art in the relevant trade or industry or as used in connection with the goods/services listed in the app
The word(s) has no meaning in a foreign language.
INDICATE THE NATURE OF THE §2(f) CLAIM OF ACQUIRED DISTINCTIVENESS
Solution States Solution States Solution States Sta
NAME(S), PORTRAIT(S), SIGNATURE(S) OF INDIVIDUAL(S):
The name(s), portrait(s), and/or signature(s) shown in the mark identifies , whose consent(s) to register is made of record.
The name(s), portrait(s), and/or signature(s) shown in the mark does not identify a particular living individual.

ction, you realize that no statement is needed,

delay the processing of your the mark within quotation marks in the text

the application.

plication, or any geographical significance.

**USE OF THE MARK IN ANOTHER FORM**: The mark was first used anywhere in a different form other than that sought to be registered at least as early as as

NOTE: If the use in another form claim does not relate to all classes in a multiple-class application, specify within the miscellaneous statement section, below, the exact class(es) the claim covers. **CONCURRENT USE**: Enter the appropriate concurrent use information in the text box immediately below. WARNING: To assert concurrent use, you **must**: (1) have assigned a Section 1(a) filing basis, which indicates you are using, or, if appropriate, exercising legitimate control over the use of, the mark in commerce; AND (2) (i) intend to initiate a legal proceeding before the Trademark Trial and Appeal Board or have obtained a Board determination from a prior proceeding establishing that you are entitled to a concurrent registration; or (ii) have obtained a final court determination establishing your concurrent right to use the same or similar mark in commerce in a limited geographic area.

MISCELLANEOUS STATEMENT: Enter information for which no other section of the form is appropriate.



### **Goods/Services Information**

### **Instructions:**

Step 1: Click on the appropriate button, below, to indicate whether you wish to create your listing of goods/services by: (1) taking entries directly from the Manual of Trademark Acceptable Identifications of Goods & Services (IDManual); or (2) entering your own free-text entry. NOTE: Option 1 is recommended because entries in the IDManual are generally acceptable as written; however, if the entry requires customization through the insertion of free-form text, even Option 1 obviously will not ensure that the listing is accepted. Step 2: Click on the "Add Goods/Services" button.

Step 3: After creating the complete list of goods/services for this application, you will then be able in the next section of the form to designate the filing basis (or bases) appropriate for each listed item.

### NOTE:

- 1. Your identification of goods/services must be accurate. IDManual entries are generally acceptable as written. If crafting your own identification and using the "Entering Free-form text" option, please note that identifications of goods and/or services must be definite, clear, and concise. See TMEP §1402.01. If you do not find a listing that accurately identifies your goods/services, you may email TMIDSUGGEST@uspto.gov to request that your identification be considered for addition to the IDManual. If your request is approved, you must wait until the approved identification is added to the IDManual. If your request is not approved or you wish to file immediately, you must use the "Entering Free-form text" option.
- 2. Some entries include instructional language beneath the actual entry, within <> symbols. This language is only to assist in the proper selection of an entry, and will NOT be included as part of the actual identification after the checked entry is inserted into the form.

3. If you cannot access the IDManual through the "Add Goods/Services" button, try switching to another browser. If after changing browsers you still cannot access the IDManual through the "Add Goods/Services" button, please contact TEAS@uspto.gov. TIMEOUT WARNING: You're required to log back in after 30 minutes of inactivity. This ensures the USPTO complies with mandatory federal information security standards and protects user information. After 25 minutes of inactivity, you will be prompted to continue your session. If you do not continue within 5 minutes, the session will end, you will be logged out of your USPTO.gov account, and you will lose any unsaved data in the form. Please have all of your information ready before you start.

\* Choose ONE method for adding the Goods/Services: <sup>
•</sup> Searching ID Manual <sup>
•</sup> Entering Free-form text

### **NOTE - INSTRUCTIONAL VIDEOS AVAILABLE REGARDING GOODS/SERVICES:**

Watch the TMIN Goods and Services video explaining what is meant by "identification of goods and services", and watch the TEAS Nuts and Bolts: Goods and Services video for instructions on filling out the Goods/Services page in this application.

, and in commerce at least as early

# **Basis for Filing** Watch the TMIN Filing Basis video explaining what is meant by "basis for filing." Watch the TEAS Nuts and Bolts: Filing Basis video explaining how to fill out the Filing Basis page. The applicant requests that the trademark/service mark identified on the preceding page be registered with the USPTO on the Principal Register for the class(es) and goods/services entered below. **Instructions for assigning a filing basis:** After selecting a class number (if known) and entering the goods/services listing for that class, you may assign a specific filing basis, or if appropriate, multiple bases. Visit the TEAS Help section of the USPTO website for an explanation of the four possible filing bases, identified by the 4 buttons beneath the listing of goods/services. For complete step-by-step instructions on how to correctly assign the filing basis(es), click on the heading that corresponds to the relevant factual scenario, see below. For examples of filings corresponding to each of the heading descriptions, click on the link "Examples." One class or multiple classes, with ONE filing basis for ALL listed items *Examples* **NOTE**: This is the most common choice. The following are other options, but they are much less common: One class or multiple classes, with same multiple filing bases for ALL listed items in class(es) *Examples* One class, with different filing basis(es) for different goods/services within the same class Examples Multiple classes, with different filing basis(es) for different overall classes *Examples* Choose ONE method for adding the Goods/Services: <sup>O</sup>Searching ID Manual <sup>®</sup>Entering Free-form text NOTE - INSTRUCTIONAL VIDEOS AVAILABLE REGARDING GOODS/SERVICES: Watch the TMIN Goods and Services video explaining what is meant by "identification of goods and services", and watch the TEAS Nuts and Bolts: Goods and Services video for instructions on filling out the Goods/Services page in this application. Visit the TEAS Help section of the USPTO website for instructions on how to add item(s) to Visit the TEAS Help section of the USPTO website for instructions on how to remove item(s) from the list displayed below. the list displayed below.

Select All	International <u>Class</u>	* Goods/Services	Assigned Filing Basis(es)
	If known.	<ul> <li>Instructions:</li> <li>Enter the common commercial name for the specific goods/services associated with your mark. Failure to list your goods/services accurately could prevent registration. Separate each specific item with a semicolon or comma.</li> <li>ALSO: <ul> <li>Do not enter a Class Number/Letter in the text box below.</li> <li>Do not include html or other programming code or language that may create embedded links.</li> <li>Do not use abbreviations, brackets ([]), or braces ({}).</li> </ul> </li> </ul>	

	You should review the USPTO Goods/Services ID Manual (ID Manual) before entering items below. You are not required to use an entry from if your entry is not from the ID Manual, you must describe your goods/services in specific, clear, and concise terms.
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Section 1(a), Use in Commerce: The applicant is exercising legitimate control over the use of the mark in commerce by the members on or in connection with the identified will later submit, one specimen as a JPG/PDF image file showing the mark as used in commerce on or in connection with any item in the class of listed goods/services, regarstandard character format or is a stylized or design mark. The specimen image file may be in color, and the image must be in color if color is being claimed as a feature of the NOTE: The specimen must show the mark as actually used in commerce. Examples of specimens for goods include tags, labels, instruction manuals, containers, and phogods or packaging, or displays associated with the actual goods at their point of sale. Webpages may also be specimens for goods when they include a picture or textual de mark and the means to order the goods. Examples of specimens for services include advertising and marketing materials, brochures, photographs of business signage and bit the mark used in the actual sale, rendering, or advertising of the services.

Watch the **TMIN** Specimen video explaining what is an appropriate trademark or service mark specimen for a good or service.

**NOTE:** For attachment, the JPG/PDF image file(s) or sound/motion file(s) showing the specimen(s) must be on your local drive.

A specimen should NOT be: (1) the same file used in the mark section; or (2) a newly-created file that shows only the mark by itself. (*Reminder*: Within the earlier mark sector) stylized/design mark or a sound/motion file, you must ensure that it only shows the mark by itself, and does not display anything that would not truly be considered part of t business card would not be an acceptable mark image, although it may be an acceptable specimen).

<u>Attach Specimen</u>	Check this box if you are mailing a <u>non-traditional specimen</u> using USPS because it meets the qualifications explained in the hyperlink non-traditional and MUST be submitted using this form. Failure to submit a required specimen through TEAS may result in processing delayed and the submitted using the submitted using the form.
Description of Specimen	
<u>Webpage Specimens</u>	Enter webpage URL(s) and dates(s) of access below if it does NOT appear on the attached specimen.         If your specimen consists of a webpage, provide the webpage URL:         If your specimen consists of a webpage, indicate the date you accessed or printed the webpage:         (MM/DD/YYYY)
Date of First Use of Mark <u>Anywhere</u>	By the applicant's members at least as early as (MM/DD/YYYY)
Date of First Use of the Mark in Commerce	By the applicant's members at least as early as (MM/DD/YYYY)

Section 1(b), Intent to Use: The applicant has a bona fide intention, and is entitled, to exercise legitimate control over the use of the mark in commerce by the members on o goods/services. NOTE: More than one basis may be selected, but do NOT claim both Sections1(a) and 1(b) for the identical goods/services in one application. If claiming a appropriate also to claim a Section 1(b) basis for the same goods/services, simply to indicate an intent to continue exercising legitimate control over the use of the mark in connection with those goods/services - the Section 1(a) basis covers this.

I understand that the selection of Section 1(b) "Intent to Use" as the filing basis requires that before the mark can register: (1) the mark must be in use in commerce; (2) the applicant must begin exercising legitimate control over the use of the mark in commerce by the members on or in connection with the identified goods/services; and (3) the applicant must file an Allegation of Use that includes a **specimen** showing

ne ID Manual; however,
I goods/services. The applicant attaches, or ardless of whether the mark itself is in the he mark. otographs that show the mark on the actual escription of the goods associated with the illboards, and website printouts that show
ction, if you attached an image file for a the actual mark, e.g., a scan of a complete
k. Sound and motion specimens are not elays and additional fees.
or in connection with the identified Section 1(a) basis, it is NOT necessary or commerce by the members on or in
the applicant must begin exercising

	<b>nal fee</b> . Furthermore, even if the application has already been approved, examination of the Allegation of Use may result in the examining eations cannot receive a registration without making additional filing(s) and paying additional fee(s) to demonstrate use of the mark in com se (ITU) forms.
	foreign filing: The applicant has a bona fide intention, and is entitled, to exercise legitimate control over the use of the mark in commerce serts a claim of priority based upon an earlier-filed foreign application.
Country/Region/Jurisdiction/	
U.S. Territory of Foreign	
Filing	
<b>Foreign Application Number</b>	Note: The USPTO database can only store 19 characters for this field. You should adjust your entry if possible, eliminating any unnecessate example.
Date of Foreign Filing	(MM/DD/YYYY)
<ul> <li>At this time, the applicant in suspension is otherwise necessar</li> <li>At this time, the applicant has</li> </ul>	a basis for filing and a priority date, but <b>not</b> a basis for registration. tends to rely on Section 44(e) as a basis for registration. If the foreign registration certificate is not being submitted at this time, suspension y. If ultimately the applicant does <b>not</b> rely on Section 44(e) as a basis for registration, a valid claim of priority may be retained as another basis for registration (Section 1(a) or Section 1(b)) and does NOT intend to rely on Section 44(e) as the basis for registration, bu ended pending the submission of the foreign registration.
	Registration: The applicant has a bona fide intention, and is entitled, to exercise legitimate control over the use of the mark in commerce baches a copy of the foreign registration and/or proof of renewal indicated below, and translation thereof, if appropriate.
Country/Region/Jurisdiction/	
U.S. Territory of Foreign Registration	▼
Foreign Registration Number	Note: The USPTO database can only store 19 characters for this field. You should adjust your entry if possible, eliminating any unnecessate example.
Foreign Registration Date	(MM/DD/YYYY)
Date Foreign Registration <u>Renewed</u> (if applicable)	(MM/DD/YYYY)
Expiration Date of Foreign Registration	(MM/DD/YYYY)
<u>Attach Foreign</u> <u>Registration/Proof of</u> <u>Renewal/Translation</u>	

g attorney issuing a refusal. Inmerce. For more information, visit the

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at is only asserting a valid claim of priority.

by the members on or in connection with the

ary leadings 0s or country abbreviations, for

	Foreign application exists for same goods/services	Foreign registration exists for same goods/services
For a Section $1(a)$ basis, the applicant controls the me		OF CONTROL (e.g., the applicant's bylaws specify the manner of control):
* <u>Method of Control</u>		A state of the second of the manner of controly.
<b>NOTE:</b> To assign the selected filing basis, click on "Assign on another basis button and complete the section (and repo		wrong basis, click on the "Remove this [basis]" button, <i>above</i> , and n the "Assign Filing Basis" button.

	Attorney Information			
* <u>Attorney Name</u>				
Individual Attorney Docket/Reference Number	<b>NOTE:</b> You must limit your entry here to no more than 1	2 characters.		
	* Year of Admission	Select Year		
	* U.S. State/Commonwealth/Territory		▼	
* <u>Bar Membership</u>	<ul> <li>Membership Number</li> <li>You must enter "N/A" or a membership/registration num</li> <li>You must limit your entry here to no more than 40 alphan</li> <li>The attorney of record is an active member in good</li> </ul>	numeric characters.		
Other Appointed Attorney(s)				
<u>Recognized Canadian</u> <u>Attorney/Agent</u>				
<u>Firm Name</u>				
Internal Address				
* <u>Street Address</u>	<b>NOTE:</b> You must limit your entry here, and for all remain	ning fields within this overall section (exce	ept City, see <i>below</i> ), to no more than 4	

nd start over. To assign multiple bases, click

t viewable in TSDR.

, or any U.S. Commonwealth or territory.

40 characters (the storage limit for the

	USPTO database). You may need to abbreviate some words, e.g., St. instead of Street. Failure to do so may result in an undeliverable address, due to truncation at the 40 character limit.		
* <u>City</u>	NOTE: You must limit your entry here to no more than 22 characters.		
* <u>State</u> (Required for U.S. addresses)	<b>NOTE:</b> You must include as part of the "City" entry any information related to geographical regions (e.g., provinces) not found in the dropdown lists for "States" or "Countries." Enter the city and then the geographical region, separated by a comma (e.g., Toronto, Ontario). In most instances, you will then also have to select the country within which the region is found, below.		
* <u>Country/Region/Jurisdiction</u> / <u>U.S. Territory</u>			
* <u>Zip/Postal Code</u> (Required for U.S. and certain international addresses)			
Phone Number	Ext.		
Fax Number			
* <u>Email Address</u>	The appointed attorney's email address must be provided and kept current with the USPTO. NOTE: The applicant owner/holder or the applicant owner's/holder's attorney acknowledges that he or she is solely responsible for receiving USPTO emails. Additionally, the applicant owner/holder or the applicant owner's/holder's attorney is responsible for periodically checking the status of the application/registration using the <u>Trademark Status &amp;</u> <u>Document Retrieval (TSDR)</u> system. USPTO notices and office actions issued in this application/registration can be viewed online using <u>TSDR</u> . The USPTO is not responsible for any failure to receive a USPTO-issued email due to the receiver's security or anti-spam software, or any problems with the receiver's email system.		

### **Correspondence Information**

Watch the TEAS Nuts and Bolts: Correspondence Information video on the USPTO website for instructions on this page.

To make changes to the **Primary Email Address for Correspondence** below, either

- (1) return to the Applicant Information section (if no attorney has been appointed) and enter the change, or
- (2) use the Attorney Information section of the form to enter the change (if an attorney has been appointed).

### <u>Name</u>

### **Primary Email Address for Correspondence:**

### Secondary Email Address(es) (Courtesy Copies)

Enter up to 4 addresses, separated by either a **semicolon** or a **comma**.

Only the Primary Email Address for Correspondence is used for official communication by the USPTO. If an attorney has been appointed, the USPTO will correspond ONLY with the appointed attorney; otherwise the USPTO will correspond with the applicant owner/holder. The applicant owner/holder or the appointed attorney must keep this email address current with the USPTO.

### **Email Address**

NOTE: I understand that (1) a valid email address must be maintained by the applicant owner/holder and the applicant owner's/holder's attorney, if appointed, for correspondence and (2) all official trademark correspondence must be submitted via the Trademark Electronic Application System (TEAS).

### **Fee Information**

The total filing fee is based on the number of classes of the goods/services listed in the application.

If you used the free-text approach for the goods/services, and have different filing bases within the same class, then the displayed Number of Classes and Total Fee Due will be inaccurate below. In that case, you must manually change the Total Fee Paid amount, using the pull-down box to select the correct fee amount.

Watch the TEAS Nuts and Bolts: Fee/Signature Information video for an explanation of how to fill out the fee and signature information sections.

Payment options: You will have three payment options (credit card, automated deposit account, and Electronic Funds Transfer). These options will appear after completing and validating this form.

Number of Classes			
Fee per class			
<b>Total Fee Due</b>			
* <u>Total Paid Fees</u>			
Click to choose ONE <u>signature method</u> : Sign directly <u>Email Text Form to second p</u>	Signature In p <u>arty for signature</u> 〇		Submit applicatio
	Electronic S	Signature	
To electronically sign this application, enter any alpha/numeric characters (letters/num two forward slashes; examples of acceptable "signatures" include: /john doe/; /jd/; or / signed fields are left blank; however, you must specifically click the button for "Subm	123-4567/. The applica	tion may still be validated to check for mis	
	DECLAR	ATION	
Read the following statements before signing. Acknowledge the statements by checki	ng the boxes and signin	ig below.	
<ul> <li>Basis:</li> <li>If the applicant is filing the application based on use in commerce under</li> <li>The signatory believes that the applicant is the owner of the collective</li> </ul>	0	rk cought to be registered.	
<ul> <li>The applicant is exercising legitimate control over the use of the marl of the filing date of the application, in connection with the goods/serv.</li> <li>The specimen(s) shows the mark as used by the members on or in control over the specimen of the mark as used by the members on or in control over the specimen over the spec</li></ul>	k in commerce by the n vices in the application;	nembers, and was exercising legitimate cor	
<ul><li>the application as of the application filing date; and</li><li>To the best of the signatory's knowledge and belief, the facts recited in</li></ul>	in the application are ac	curate.	
AND/OR If the applicant is filing the application based on an intent to use the man	rk in commerce under	<sup>.</sup> 15 U.S.C. § 1051(b), § 1126(d), and/or §	§ 1126(e):

• The signatory believes that the applicant is entitled to exercise legitimate control over the use of the mark in commerce by the members, and was entitled to exercise legitimate control over the use of the mark in commerce by the members as of the application filing date;

on unsigned

signatories enter their name between the errors even if the signature and date

the mark in commerce by the members as or in connection with the goods/services in

- The applicant has a bona fide intention to exercise legitimate control over the use of the mark in commerce by the members, and had a bona fide intention to exercise legitimate control over the use of the mark in commerce by the members as of the application filing date, on or in connection with the goods/services in the application; and
- To the best of the signatory's knowledge and belief, the facts recited in the application are accurate.
- To the best of the signatory's knowledge and belief, no other persons, except the members, and, if applicable, concurrent users, have the right to use the mark in commerce, either in the identical form or in such near resemblance as to be likely, when used on or in connection with the goods/services of such other persons, to cause confusion or mistake, or to deceive.
- To the best of the signatory's knowledge, information, and belief, formed after an inquiry reasonable under the circumstances, the allegations and other factual contentions made above have evidentiary support.
- The signatory being warned that willful false statements and the like are punishable by fine or imprisonment, or both, under 18 U.S.C. § 1001, and that such willful false statements and the like may jeopardize the validity of the application or submission or any registration resulting therefrom, declares that all statements made of his/her own knowledge are true and all statements made on information and belief are believed to be true.

* <u>Signature</u>	Examples of acceptable "signatures" include: /john doe/; /jd/; or /123- 4567/. <b>NOTE:</b> Must be personally signed by the individual listed in the Signatory's Name field. <u>37 C.F.R. §2.193(a)</u> . The person signing may not enter someone else's signature.	* <u>Date Signed</u>
* <u>Signatory's Name</u>		
* <u>Signatory's Position</u>	<ul> <li>Enter appropriate title or nature of relationship to the owner/holder.</li> <li>If the signer is <ul> <li>An individual owner/holder, enter "Owner" or "Holder" as appropriate.</li> <li>Joint individual owners/holders, enter "Owners" or "Holders" as approp</li> <li>A business entity authorized signatory, enter official title; e.g., "President liability company).</li> <li>A U.Slicensed attorney, enter "Attorney of record," and if not specified e.g., "Attorney of record, New York Bar member." Also, if the signing attor original filing and is not otherwise of record, also include law firm name, experimentation.</li> </ul> </li> </ul>	t" (if a corporation),"General Partner" (if a p in the application or prior communications, rney is from the same U.S. firm as the attorn
Signatory's Phone Number	Ext.	

STEP 1: Review the application data in the various formats below by clicking on the hyperlinks under "Application Data." Use the print function in your browser to print these pages for your own records. Visit the USPTO'S TEAS Help webpage for information on correcting your image when the Mark and Specimens appear huge in the provided hyperlinks.

Note: Review this information for accuracy and completeness now. Corrections after submission may not be allowed, thereby possibly affecting your legal rights.

STEP 2: If there are no errors and you are ready to file, confirm the Primary Email Address for Correspondence, displayed below. To make changes to this email address, use the navigation buttons below to return to the appropriate page in the form and update either the attorney's email address, if appointed, or the applicant owner's/holder's email address. Courtesy copies are also permitted and these email address(es) are displayed below. To update these addresses, use the navigation buttons below to return to the Correspondence Information page and enter the changes.

After you submit the form, the USPTO will send an acknowledgment of receipt to the following email address(es):

Primary Email Address for Correspondence:	
Secondary Email Address(es) (Courtesy Copies):	

(MM/DD/YYYY) partnership), or "Principal" (if a limited s, specify at least one state bar admission, orney of record, but was not listed in the avis, Virginia Bar member.



**STEP 3:** To <u>download and save the form data</u>, click on the "<u>Save Form</u>" button at the bottom of this page. The information will be saved to your local drive. To begin the submission process with saved data, you must open a new form, and click on the "Browse/Choose File" button displayed on the initial form wizard page, at "[OPTIONAL] To access previously-saved data, use the "Browse/Choose File" button below to access the file from your local drive." REMINDER: Do NOT try to open the saved .obj/.xml form directly. You must return to the very first page of the form, as if starting a brand new form, and then use the specific "Browse/Choose File" button on that page to import the saved file. Clicking on the "Continue" button at the bottom of that first page will then properly open the saved version of your form.

### **STEP 4:** Read and check the following:

### **Important Notice:**

(1) Once you submit this application, we will not cancel the filing or refund your fee. The fee is a processing fee, which we do not refund even if we cannot issue a registration after our substantive review. This is true regardless of how soon after submission you might attempt to request cancellation of the filing. Therefore, please review ALL information carefully prior to transmission.

(2) All information you submit to the USPTO at any point in the application and/or registration process will become public record, including your name, phone number, email address, and street address. By filing this application, you acknowledge and agree that **YOU HAVE NO RIGHT TO CONFIDENTIALITY** in the information disclosed. The public will be able to view this information in the USPTO's on-line databases and through internet search engines and other on-line databases. This information will remain public even if the application is later abandoned or any resulting registration is surrendered, cancelled, or expired. To maintain confidentiality of banking or credit card information, only enter payment information in the secure portion of the site after validating your form. For any information that may be subject to copyright protection, by submitting it to the USPTO, the filer is representing that he or she has the authority to grant, and is granting, the USPTO permission to make the information available in its on-line database and in copies of the application or registration record.

(3) Be aware that private companies **not** associated with the USPTO often use trademark application and registration information from the USPTO's databases to <u>mail or email trademark-related</u> solicitations (samples of non-USPTO solicitations included).

If you have read and understand the above notice, please check the box before you click on the **Pay/Submit** button.

### **STEP 5:** If you are ready to file electronically:

Click on the **Pay/Submit** button *below*, to access the site where you will select one of three possible payment methods. After successful entry of payment information, you can complete the submission to the USPTO. A valid transaction will result in a screen that says **SUCCESS!** Also, we will send an email acknowledgment within 24 hours.

WARNING: Click on the Pay/Submit button ONLY if you are now entirely prepared to complete the Pay/Submit process. After clicking the button, you can NOT return to the form, since you will have left the TEAS site entirely. Once in the separate payment site, you must complete the Pay/Submit process within 30 minutes. If you are not prepared to complete the process now, you should select the "Save Form" option to save your form, and then complete the Pay/Submit process later. Or, if you have discovered any error, use the "Go Back to Modify" button to make a correction.
WARNING: Fee payments by credit card may not be made from 2 a.m. to 6 a.m. Sunday, Eastern Time. If you are attempting to file during that specific period, you must use either (1) the deposit account or electronic funds transfer payment method; or (2) the "Save Form" option to save your form, and then complete the Pay/Submit process later for a credit card payment.