

EUROPEAN PATENT OFFICE
U.S. PATENT AND TRADEMARK OFFICE

CPC NOTICE OF CHANGES 442

DATE: AUGUST 1, 2017

PROJECT RP0273

The following classification changes will be effected by this Notice of Changes:

<u>Action</u>	<u>Subclass</u>	<u>Group(s)</u>
Symbols newly created:	A61K	31/5545
Scheme Warning Notices to be added:	A61K	31/395
	A61K	31/5545
Scheme Notes to be added:	A61K	31/5545

No other subclasses/groups are impacted by this Notice of Changes.

This Notice of Changes includes the following *[Check the ones included]*:

1. CLASSIFICATION SCHEME CHANGES
 - A. New, Modified or Deleted Group(s)
 - B. New, Modified or Deleted Warning Notice(s)
 - C. New, Modified or Deleted Note(s)
 - D. New, Modified or Deleted Guidance Heading(s)
2. DEFINITIONS (New or Modified)
 - A. DEFINITIONS (Full definition template)
 - B. DEFINITIONS (Definitions Quick Fix)
3. REVISION CONCORDANCE LIST (RCL)
4. CHANGES TO THE CPC-TO-IPC CONCORDANCE LIST (CICL)
5. CROSS-REFERENCE LIST (CRL)

CPC NOTICE OF CHANGES 442

DATE: AUGUST 1, 2017

PROJECT RP0273

1. CLASSIFICATION SCHEME CHANGES

A. New, Modified or Deleted Group(s)

SUBCLASS A61K - PREPARATIONS FOR MEDICAL, DENTAL, OR TOILET PURPOSES (devices or methods specially adapted for bringing pharmaceutical products into particular physical or administering forms A61J 3/00; chemical aspects of, or use of materials for deodorisation of air, for disinfection or sterilisation, or for bandages, dressings, absorbent pads or surgical articles A61L; {compounds per se C01, C07, C08, C12N} ; soap compositions C11D; {micro-organisms per se C12N})

<u>Type*</u>	<u>Symbol</u>	<u>Indent Level Number of dots (e.g. 0, 1, 2)</u>	<u>Title (new or modified)</u> <u>“CPC only” text should normally be enclosed in {curly brackets}**</u>	<u>Transferred to#</u>
C	A61K 31/395	2	having nitrogen as a ring hetero atom, e.g. guanethidine, rifamycins (rifampin A61K 31/496)	A61K 31/395, A61K 31/5545
U	A61K 31/55	3	having seven-membered rings, e.g. azelastine, pentylenetetrazole	
U	A61K 31/554	4	having at least one nitrogen and one sulfur as ring hetero atoms, e.g. chlothiapine, diltiazem	
N	A61K 31/5545	3	{having eight-membered rings not containing additional condensed or non-condensed nitrogen-containing 3-7 membered rings}	
U	A61K 31/555	2	containing heavy metals, e.g. hemin, hematin, melarsoprol	

*N = new entries where reclassification into entries is involved; C = entries with modified file scope where reclassification of documents from the entries is involved; Q = new entries which are firstly populated with documents via administrative transfers from deleted (D) entries. Afterwards, the transferred documents into the Q entry will either stay or be moved to more appropriate entries, as determined by intellectual reclassification; E= existing entries with enlarged file scope, which receive documents from C or D entries, e.g. when a limiting reference is removed from the entry title; M = entries with no change to the file scope (no reclassification); D = deleted entries; F = frozen entries will be deleted once reclassification of documents from the entries is completed; U = entries that are unchanged.

NOTES:

- **No {curly brackets} are used for titles in CPC only subclasses, e.g. C12Y, A23Y; 2000 series symbol titles of groups found at the end of schemes (orthogonal codes); or the Y section titles. The {curly brackets} are used for 2000 series symbol titles found interspersed throughout the main trunk schemes (breakdown codes).
- For U groups, the minimum requirement is to include the U group located immediately prior to the N group or N group array, in order to show the N group hierarchy and improve the readability and understanding of the scheme. Always include the symbol, indent level and title of the U group in the table above.
- All entry types should be included in the scheme changes table above for better understanding of the overall scheme change picture. Symbol, indent level, and title are required for all types except “D” which requires only a symbol.
- #“Transferred to” column must be completed for all C, D, F, and Q type entries. F groups will be deleted once reclassification is completed.
- When multiple symbols are included in the “Transferred to” column, avoid using ranges of symbols in order to be as precise as possible.
- For administrative transfer of documents, the following text should be used: “< administrative transfer to XX>” or “<administrative transfer to XX and YY simultaneously>” when administrative transfer of the same documents is to more than one place.

CPC NOTICE OF CHANGES 442

DATE: AUGUST 1, 2017

PROJECT RP0273

- Administrative transfer to main trunk groups is assumed to be “invention information”, unless otherwise indicated, and to 2000 series groups is assumed to be “additional information”.

CPC NOTICE OF CHANGES 442

DATE: AUGUST 1, 2017

PROJECT RP0273

B. New, Modified or Deleted Warning notice(s)

SUBCLASS A61K - PREPARATIONS FOR MEDICAL, DENTAL, OR TOILET PURPOSES (devices or methods specially adapted for bringing pharmaceutical products into particular physical or administering forms A61J 3/00; chemical aspects of, or use of materials for deodorisation of air, for disinfection or sterilisation, or for bandages, dressings, absorbent pads or surgical articles A61L; {compounds per se C01, C07, C08, C12N } ; soap compositions C11D; {micro-organisms per se C12N})

<u>Type*</u>	<u>Location</u>	<u>Old Warning notice</u>	<u>New/Modified Warning notice</u>
N	A61K 31/395		Group A61K 31/395 is impacted by reclassification into group A61K 31/5545. Groups A61K 31/395 and A61K 31/5545 should be considered in order to perform a complete search.
N	A61K 31/5545		Group A61K 31/5545 is incomplete pending reclassification of documents from group A61K 31/395. Groups A61K 31/395 and A61K 31/5545 should be considered in order to perform a complete search.

*N = new warning, M = modified warning, D = deleted warning

NOTE: The “Location” column only requires the symbol PRIOR to the location of the warning. No further directions such as “before” or “after” are required.

CPC NOTICE OF CHANGES 442

DATE: AUGUST 1, 2017

PROJECT RP0273

C. New, Modified or Deleted Note(s)

SUBCLASS A61K - PREPARATIONS FOR MEDICAL, DENTAL, OR TOILET PURPOSES (devices or methods specially adapted for bringing pharmaceutical products into particular physical or administering forms A61J 3/00; chemical aspects of, or use of materials for deodorisation of air, for disinfection or sterilisation, or for bandages, dressings, absorbent pads or surgical articles A61L; {compounds per se C01, C07, C08, C12N}; soap compositions C11D; {micro-organisms per se C12N})

<u>Type*</u>	<u>Location</u>	<u>Old Note</u>	<u>New/Modified Note</u>
N	A61K 31/5545		This subgroup does not cover N-containing eight-membered rings which also contain additional condensed and non-condensed nitrogen containing 3-7 membered rings, which are covered by subgroups A61K 31/396 - A61K 31/554.

*N = new note, M = modified note, D = deleted note

NOTE: The "Location" column only requires the symbol PRIOR to the location of the note. No further directions such as "before" or "after" are required.

CPC NOTICE OF CHANGES 442

DATE: AUGUST 1, 2017

PROJECT RP0273

3. REVISION CONCORDANCE LIST (RCL)

<u>Type*</u>	<u>From CPC Symbol (existing)</u>	<u>To CPC Symbol(s)</u>
C	A61K 31/395	A61K 31/395, A61K 31/5545

* C = entries with modified file scope where reclassification of documents from the entries is involved; Q = new entries which are firstly populated with documents via administrative transfers from deleted (D) entries. Afterwards, the transferred documents into the Q entry will either stay or be moved to more appropriate entries, as determined by intellectual reclassification; D = deleted entries.

NOTES:

- Only C, D, and Q type entries are included in the table above.
- When multiple symbols are included in the “To” column, avoid using ranges of symbols in order to be as precise as possible.
- For administrative transfer of documents, the following text should be used: “< administrative transfer to XX>” or “<administrative transfer to XX and YY simultaneously>” when administrative transfer of the same documents is to more than one place.
- Administrative transfer to main trunk groups is assumed to be “invention information”, unless otherwise indicated, and to 2000 series groups is assumed to be “additional information”.

CPC NOTICE OF CHANGES 442

DATE: AUGUST 1, 2017

PROJECT RP0273

4. CHANGES TO THE CPC-TO-IPC CONCORDANCE LIST (CICL)

<u>CPC</u>	<u>IPC</u>	<u>Action*</u>
A61K 31/5545	A61K 31/395	NEW

*Action column:

- For an (N) or (Q) entry, provide an IPC symbol and complete the Action column with “NEW.”
- For an existing CPC main trunk entry or indexing entry where the existing IPC symbol needs to be changed, provide an updated IPC symbol and complete the Action column with “UPDATED.”
- For a (D) CPC entry or indexing entry complete the Action column with “DELETE.” IPC symbol does not need to be included in the IPC column.
- For an (N) 2000 series CPC entry which is positioned within the main trunk scheme (breakdown code) provide an IPC symbol and complete the action column with “NEW”.
- For an (N) 2000 series CPC entry positioned at the end of the CPC scheme (orthogonal code), with no IPC equivalent, complete the IPC column with “CPCONLY” and complete the action column with “NEW”.

NOTES:

- F symbols are not included in the CICL table above.
- E and M symbols are not included in the CICL table above unless a change to the existing IPC is desired.