#### **B81B**

# MICROSTRUCTURAL DEVICES OR SYSTEMS, e.g. MICROMECHANICAL DEVICES (piezoelectric, electrostrictive or magnetostrictive elements per se H10N 30/00)

#### **Definition statement**

This place covers:

Encompasses microstructures:

- Having an electro-mechanical function or a pure mechanical function coupled to an electrical
  processing circuit suitable for electro-mechanical applications (example of applications: sensors,
  switches, optical switches, microchannels, micromotors).
- Having a dimension in the range of several microns to a few nanometers, and that are manufactured using a top down process (shaping material, moulding, stamping ...etc.).

Subject-matter belonging to **B81B** 

Documents which contain one of the following characteristics should be classified in B81B:

• A solution to a technical problem which is common to microstructures in general (e.g. avoiding stiction, hermetic encapsulation, fabricating structures with high aspect ratio)

#### Examples:

- Documents that deal with a structure which has no specific application, i.e. which can be used for several application, should be classified in <a href="mailto:B81B">B81B</a>
- documents that deal with structures that solve a general problem but mention as an example a
  specific application should nevertheless be classified in <u>B81B</u> since the invention could also be
  applied to other applications

Subject-matter excluded from <a href="B81B">B81B</a>

- <u>B81B</u> doesn't cover applications which describe pure biological or chemical material (DNA sensors, carbon nanotubes ...)
- Purely optical or electrical micro- or nanodevices like optical fibers, diodes, transistors, etc.
- Specific systems like pressure sensors, accelerometers, switches, micromotors, microreactors...etc. without any unspecific aspect/structure which may be applicable to other systems.
- Structures for solving a problem which is specific to a kind of device like increasing the sensitivity
  of a pressure sensor.

# Relationships with other classification places

Limits with application classes (e.g. switches H01H 1/0036)

- If the objective problem solved by the invention is related to improving physical features of the
  device, or realising a given function, then the document should be classified in the application
  classes. For example: membrane structure for improving the sensitivity of an acceleration sensor,
  structure for realising a bi-stable switch, structure for increasing the oscillation frequency of an RF
  switch.
- If the objective problem solved by the invention is related to fabricating a specific or a general structure, or to a structure for solving a general problem, i.e. a problem that is not specific to a given device, then the document should be classified in <a href="B81B">B81B</a>. For example: structure for improving the rigidity of a beam.

Limits with Microfluidic applications

Following criteria applies for classifying a document on microfluidic technology in B81B:

Relationships with other classification places

• The document describes a general structure (channel, groove, hole, arrangement of microfluidic structures without specific purpose) for use in microfluidic devices.

CPC - B81B - 2023.08

Following documents are not to be classified in **B81B**:

 Documents that describe a microfluidic structure which has a specific purpose, where the structure solves a problem specific to the technical field contemplated. For example, a specific arrangement of channels for mixing given liquids (belongs to <u>B01F 33/30</u>)

Limits with Nanotechnologies (B82B)

## Limit <u>B81B</u> vs <u>B82B 1/00</u>:

- Nanostructures having exclusively nanosized components are classified in <u>B82B</u>
- Nanostructures forming part of a larger structure having at least one microsized component are classified in <u>B81</u>

#### References

# Informative references

Attention is drawn to the following places, which may be of interest for search:

#### Actuators

Micromanipulators	B25J 7/00
Ink jet, Nozzles, Production of nozzles, manufacturing processes, etching, dry etching, wet etching.  FIG. 2 t=625 µm  1	B41J 2/1626, B41J 2/1628, B41J 2/1629
FIG. 3 t=625 µm 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	
FIG. 4	
Micropumps	F04B 19/006, G01N 2035/1034
Micropumps having electric drive having plate-like flexible members, e.g. diaphragms	F04B 43/043
Mechanically-driven clocks or watches; mechanical parts of clocks or watches in general N.B.: Processes for making gears (in particular for clocks) are classified in <a href="mailto:B81C 99/0075">B81C 99/0075</a> +	<u>G04B</u>

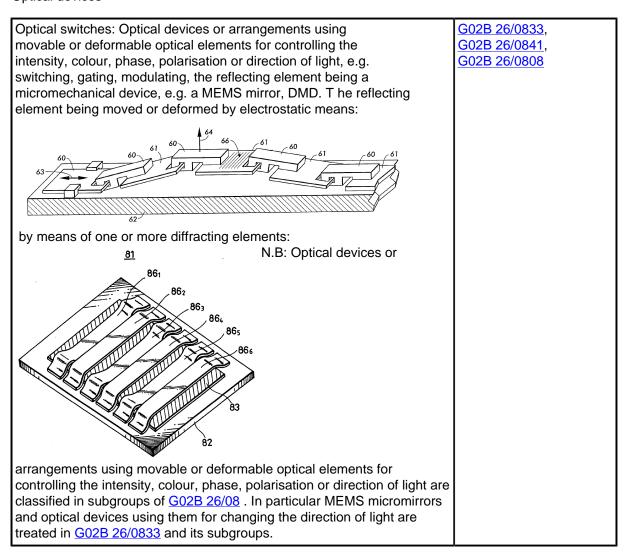
	, <del></del>
Switches making use of micromechanics; Apparatus or processes for their manufacturing, e.g. anisotropic etching (for electrostatic relays H01H 59/0009, for relays in general	<u>H01H 1/0036</u>
H01H 50/005)	
300	
350 336 345a	
114 342 FIG. 3E	
336 340 345b	
7 345a	
634 338	
FIG. 3A	
Electrostatic relays; Electro-adhesion relays; making use of	H01H 59/0009
micromechanics	110111 00/0000
Electrostatic motors, electric motors using thermal effect	H02N 1/002, H02N 10/00
Electric machines using piezoelectric effect	H02N 2/00
Networks comprising electromechanical or electro-acoustic devices; Electromechanical resonators. Details of microelectro-	H03H 9/02244, H03H 9/2405,
mechanical resonators, Driving or d etection means, Comb electrodes	H03H 9/2447
100 F161	
102, .	
106	
110 Vo	
104	
108	
, v <sub>i</sub>	
Migraphanaa migraphagkara Flagtrastatia tranadugara using	H04D 40/005
Microphones, microspeakers: Electrostatic transducers using semiconductor materials	<u>H04R 19/005</u>
Piezoelectric devices (includes sensors using a piezoelectric element);	H10N 30/00
`	111014 00/00
Electro active polymer (EAP) actuated artificial muscles	

# Sensors

Gyroscopes; Turn-sensitive devices using gyroscopic effect, e.g.	G01C 19/5719
using gas, using electron beam. Turn-sensitive devices with vibrating	
masses, e.g. tuning fork, the vibrating mass being an essentially two-	
dimensional body which undergoes a deformation or translation vibration	
in a micromechanical structure	

Measuring volume flow or mass flow	G01F 1/6845
IR sensor	<u>G01J 5/00</u>
Pressure sensors, manufacturing methods of pressure sensors	G01L 9/0041, G01L 9/0042
Accelerometers, Measuring acceleration; Measuring deceleration; Measuring shock, i.e. sudden change of acceleration	G01P 15/00, G01P 15/0802
Arrangements or instruments for measuring magnetic variables (flux or magnetic sensors)	G01R 33/00
Acoustic emission sensors	

## Optical devices



Microfluidic and chemical analysis devices or processes

Microneedles (Devices for taking blood samples by percutaneous	A61B 5/1411
method)	

Microneedles (devices for introducing media into the body) N.B.: Manufacturing methods (other then plastic moulding) for making raised tips (in particular microneedles) are classified in <a href="mailto:B81C 1/00111">B81C 1/00111</a> . Methods for coating the needles with an active material for therapeutic purposes is not classified in <a href="mailto:B81">B81</a>	A61M 37/0015
Processes specially adapted for manufacturing semi-permeable membranes for separation processes or apparatus, Inorganic membrane formation (micropores)	B01D 67/0039
Micromixers	B01F 33/30
Microreactors, e.g. miniaturised or microfabricated reactors	B01J 19/0093
Containers for the purpose of retaining a material to be analysed with fluid transport, e.g. multi-compartment structures by integrated microfluidic structures, i.e. dimensions of channels and chambers are such that surface tension forces are important, e.g. lab-on-a-chip	B01L 3/5027
Measuring or testing processes involving nucleic acids (DNA analysis)	C12Q 1/68
Manufacture of fluid circuit elements; Manufacture of assemblages of such elements as integrated circuits (microvalves)	F15C 5/00
Investigating or analysing materials by the use of electric, electro- chemical, or magnetic means. Electrophoresis for analysis in microdevices	G01N 27/447

# Memories

Recording or reproducing by means directly associated with the tip of a microscopic electrical probe as used in Scanning Tunneling Microscopy [STM] or Atomic Force Microscopy [AFM] for inducing physical or electrical perturbations in a recording medium	G11B 9/14
Digital stores characterised by the use of particular electric or magnetic storage elements; Storage elements therefor, using electric elements, using ferroelectric elements (using multibit ferroelectric storage elements G11C 11/5657)	G11C 11/22
Digital stores characterised by movement of mechanical parts to effect storage, e.g. using balls; Storage elements therefor (storing by actuating contacts G11C 11/48) - RAM with mechanical structure	G11C 23/00
Magnets or magnetic bodies characterised by the magnetic materials therefor; Selection of materials for their magnetic properties.  Bidimensional, e.g. nanoscale period nanomagnet arrays.	H01F 1/009
Read-only memory structures including field-effect components	H10B 20/00

# Micromanipulators

Micromanipulators N.B: Documents which comply with the following	B25J 7/00
criteria are to be classified in B81: The micromanipulator is specific to	
the manipulation and assembling of micro- or nanoparts for MEMS or	
NEMS. The device solves the problem of surface forces being higher	
than the gravitational force The document describes the structure and	
manufacturing process of the micromanipulator. Methods for controlling	
the micromanipulator are to be classified in <u>B25J 7/00</u> only.	

# Chemistry, nanoparticles, nanowires

Other nanoparticles	C01B 13/00, C01B 17/00, C01C, C01F
Coated nanoparticles for use as pigments and/or fillers	C01G, C09C
Hyperbranched macromolecules	C08G 83/005
Nanowires: electrolytic coating by surface reaction, i.e. forming conversion layers	C25D 11/00
Single crystal growth methods of nanorods and nanowires (deposition)	<u>C30B</u>
Semi-conductor nanoparticles	<u>C30B</u>
Manufacturing method for silicon nanowires / nanotubes / whiskers	H01L 21/30
Nanowires as interconnects for ICs	H01L 21/768
Semi-conductor nanowires / nanotubes / whiskers	H01L 29/0665
Nanowires as semiconductor heterojunction of materials of different groups	H01L 29/267

# Testing and monitoring

Measuring probes, probe needles, cantilever beams, "bump" contacts; replaceable spring loaded probe pins; N.B: plugs, sockets or clips are in G01R 1/0408; testing of connections in G01R 31/66; contacting IC's for test purposes when probe design is not the essential feature in G01R 31/2886; using radiation beam as probe in G01R 31/302; end pieces for wires terminating in a probe in H01R 11/18	<u>G01R 1/06711</u>
Details of semiconductor or other solid state devices, marks applied to semiconductor devices (or parts), e.g. registration marks, test patterns (alignment structures, wafer maps), using circuits for characterising or monitoring manufacturing processes, e.g. whole test die, wafers filled with test structures, on-board-devices incorporated on each die, process/ product control monitors or PCM, devices in scribe-line/kerv, drop-in devices	H01L 23/544

# Apparatus for lithography

Photomechanical, e.g. photolithographic, production of textured or	G03F 7/00
patterned surfaces, e.g. printing surfaces; Materials therefor, e.g.	
comprising photoresists; Apparatus specially adapted therefor, Apparatus	
for microlithography	

# Others

Injection moulding (for example for microneedles)	B29C 45/00
Imprinting and embossing machines	B29C 59/00
Control arrangements or circuits, of interest only in connection with visual indicators other than cathode-ray tubes (no fixed position being assigned to or needed to be assigned to the individual characters or partial characters), by control of light from an independent source. Control circuit for an array of optical MEMS, for example for avoiding stiction.	G09G 3/34

Informative references

Apparatus or processes specially adapted for manufacturing or assembling transformers, inductances, reactors or choke coils, for applying magnetic films to substrates (covering metals, or materials with metals, in general <a href="C23C">C23C</a> ; manufacturing record carriers <a href="G11B 5/84">G11B 5/84</a> ), for applying nanostructures, e.g. by molecular beam epitaxy (MBE)	H01F 41/30
Variable MEMS capacitors	H01G 5/16

# Special rules of classification

The classification scheme in <u>B81B</u> comprises two kinds of classification codes:

- The codes <u>B81B 1/00</u> <u>B81B 7/00</u> are the main groups which are defining technical problems solved by the teaching of the classified documents.
- The Indexing Codes <u>B81B 2201/00</u> <u>B81B 2207/99</u> and <u>B81C 2201/00</u> <u>B81C 2900/02</u> are defining technical features of the invention.

Accordingly, the classifier should stick as much as possible to the following rules:

- Each document to be classified in <u>B81B</u> should be allocated only one main group corresponding to the objective technical problem solved by the invention, unless the content of the document describes more than one invention. In case the classification does not contain a group corresponding to the technical problem, the classifier should allocate either a residual group (see below), or in exceptional cases, when appropriate, several groups that in conjunction describe the technical problem.
- As the invention may comprise several essential technical features, a document classified in <u>B81B</u> may be allocated more than one Indexing Code. The Indexing Codes which are allocated should be relevant to the invention.
- Furthermore, the Indexing Codes must only be allocated to documents which are receiving a main group

#### Residual Groups

The classification in <u>B81B</u> contains so called residual groups. For example:

B81B 7/0077: Other packages not provided for in groups B81B 7/0035 - B81B 7/0074

When classifying a document which doesn't correspond to any subgroup (for example B81B 7/0035 - B81B 7/0074), the document must be classified in the residual group, if present, and not in the main group. In the present example, the documents should be classified in B81B 7/0077 and not in B81B 7/0032.

The classifier must stick to this policy: no new document should be classified in a main group if a residual group is present.

The reason for these residual groups is to provide the search examiner with the certainty, that all documents in the groups have been classified or reclassified. If a residual group is not present, but subgroups are, the examiner has no certainty that the documents from the main group have been reclassified when creating the subgroups.

When doing the search, the presence of the residual group indicates that all documents in the residual group have been classified taking into account the subgroups of the same level. Documents in the group of higher level, which have been split up into the sub-groups, may not have been classified taking into account the sub-groups.

Therefore, at search stage, the examiner must keep in mind that he must verify if documents are present in the main group, since these documents have not been reclassified.

The classification structure in **B81**.

Special rules of classification

The classification in <u>B81</u>, based on IPC8, makes the difference between structures and processes. In many cases, the underlying problems, which form the basis for the technical definition of a group, are solved either with a structural solution, or with a manufacturing process. Therefore, some group descriptors are duplicated in <u>B81B</u> (Structures) and in <u>B81C</u> (Processes).

The criteria for deciding if a document is to be classified in the structures or in the process is the following:

if the solution to the technical problem is a structure, then the document should be classified in B81B

if the solution to the technical problem is a method, then the document belongs to B81C

#### Example:

B81B 3/0002 . Arrangements for avoiding sticking of the flexible or moving parts

and

B81C 1/00912 . Treatments or methods for avoiding stiction of flexible or moving parts of MEMS

If for example, a document describes a process using supercritical CO<sub>2</sub> for avoiding stiction, then it should be classified in B81C 1/00928.

If the document describes a bump for avoiding stiction of a cantilever, then it should be classified in B81B 3/001.

#### **Exceptions:**

B81B 3/0005 contains also the processes for making anti-stiction coatings

#### **Glossary of terms**

In this place, the following terms or expressions are used with the meaning indicated:

Many technical terms in MEMS technology are defined in the SEMI norm SEMI MS3-0307. In the following sections, some technical expressions are given an additional explanation in the meaning of the classification scheme.

Summary of static of elastic structures:

Defined by their geometry

Extending structures	Pillar / ColumnTip
Depressed structures	Cavity GrooveHole
Suspended structures	Bridge Cantilever Diaphragm See-sawComb structure

#### Defined by their function

Extending structures	AnchorRib
Depressed structures	ChannelNozzle
Suspended structures	Flexure Membrane Spring Torsion hingeProof mass

#### Rotating elements

Rotating elements	Gears Rack and pinionHinge

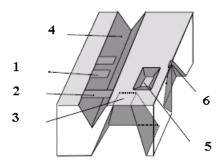


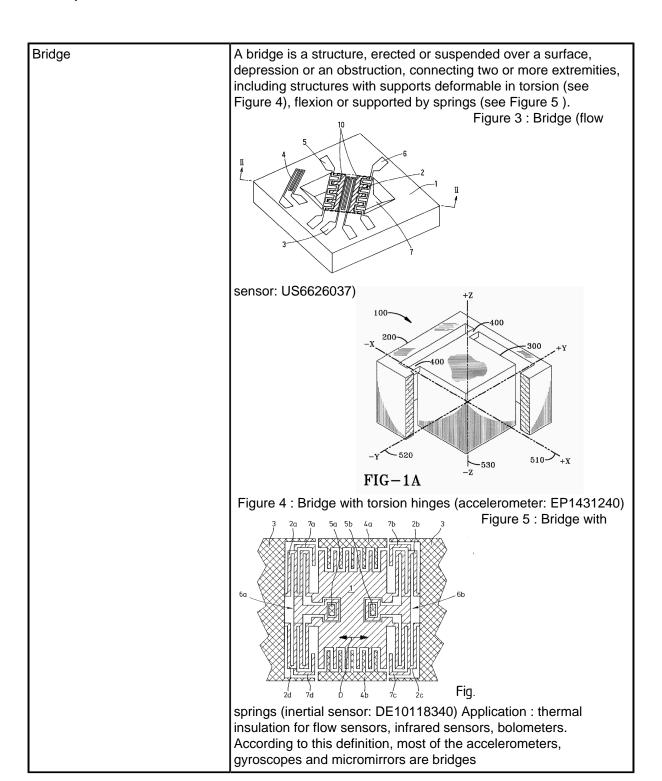
Figure 1: Basic structures

1) Cantilever 2) Bridge 3) Diaphragm 4) V-Groove 5) Well/Cavity

# 6) Hole/Nozzle, [1]

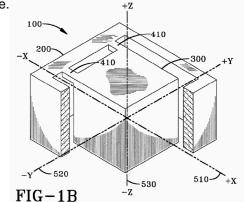
Pillar/Column	A tall vertical structure, usually narrow in proportion to its height, which is usually used as a support structure, anti-adhesion structure or a structure for thermal exchange.
Tip	Tips are small pointed or rounded structures often used for Scanning Probe Microscopy probes (SPM) - like atomic force microscope (AFM), but also for data storage (Millipede), electron tunnelling tip (used in sensors) or microneedles.
Bump	is also used in relation to structures for reducing adhesion.
Functional layers	Functional layers are used mostly as protective coatings. They can protect structures against chemical or mechanical corrosion that can release particles into the protected cavity. Special coatings are used also to prevent adhesion of movable structures or to reduce the stress. Metallic layers covering polymer sealing are used to make them hermetic, but can be used also to compensate the RF-interferences.
Anchor	Anchors are structures connecting the suspended movable parts to the substrate. They can affect the resonant frequency and the reliability of the device.  200 207 11 20 186 19 Figure 2 : Anchor (US2005032266)
Rib	Stiffening ribs are may be used to improve the stiffness of suspended structures. Improving the stiffness to mass ratio, they can have impact on resonant frequency and efficiency of the devices.
Cavity	In bulk micromachining, cavity is used to make the movement of a suspended element possible. It can be used also for the protection of movable structures in packaging, for thermal insulation or as a container for fluids (see Figure 1). The synonyms are chamber, hollow, well.

Groove	A typical example is a V-Groove (see Figure 1), which can be formed in 100 - Si substrate by KOH etching. Sometimes the groove in 111 - Si substrate is called channel or trench to distinguish them, but we consider channel to be a function oriented definition. Grooves are usually used in microfluidic applications, but can also be used for precise positioning of optical fibres or controlled weakening of structures holding a device prior to its separation by breaking.
Hole	An aperture passing through anything, a perforation, opening.
Channel	A tube or tubular passage, natural or artificial, usually for liquids or fluids.
Nozzle	A spout, mouthpiece, projecting aperture, or a short terminal pipe from which a jet of gas or liquid may issue or be discharged (see Figure 1).



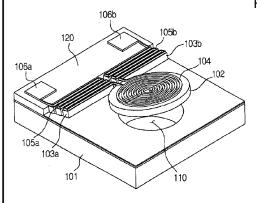
# Cantilever

A cantilever is a structure which projects beyond a supporting column on a wall and is counterbalanced and/or supported at only one end. Cantilevers are also called flexures, suspension or cantilever beams and suspension springs if their structure is more complicated. These elements usually store and release mechanical energy by deformation resulting in vibration. A proof mass can be attached to one extremity of a cantilever. If there is a cantilever on both sides of a supported structure (proof mass or a micromirror), this structure is called a bridge.



Cantilever/flexure with proof mass (accelerometer: EP1431240)

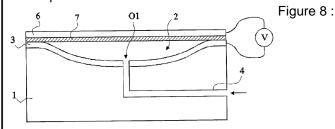
Figure 7 : Cantilever



(microvalve: EP1496269)Application: switches, resonators, chemical and biological sensors, accelerometers

# Diaphragm

A diaphragm is a layer covering a hole or a cavity, fixed to a frame all along its periphery, separating two environments. This separation can be hermetic or not, permanent or temporal and its opening can be controllable. Diaphragms can be perforated or have a seismic mass attached to it.

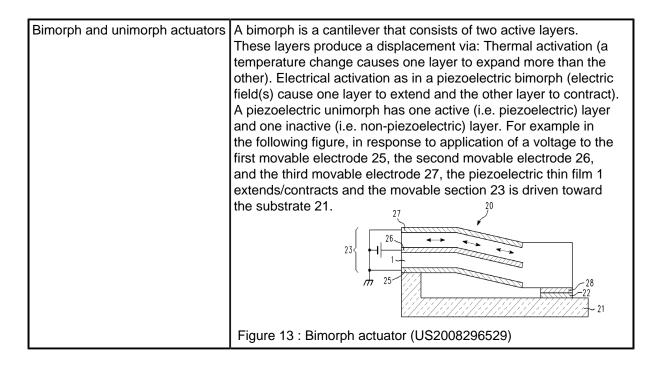


Diaphragm (micropump: FR2862629) Applications: pressure sensors, microphones, ultra-sound resonators, valves, accelerometers, thermal insulation

	·
See-saw	See-saw structures are suspended structures supported by a flexible element which main axis is usually perpendicular to the substrate plane. These structures can be used as a support for micromirrors, switches, gyroscopes and resonators.  Figure 9: See-saw  (EP1306348)
On the standard standard	Y
Comb structure, comb drive	A comb is a structure with a pair of comb-shaped electrodes. This shape increases the total capacitor surface. Comb structures are used for actuation or sensing. When voltage is applied, the combs are attracted to each-other. They are often attached to a deformable element that pulls them back to the initial position because they can not develop a repulsive force.  Figure 10 : Comb
	structure (EP1868024)
Flexure	Flexure or a flexure beam is a structural element that carries load primarily in bending. Deformation comprises bending about an axis normal to the beam's axis. This allows the structures to move along the perpendicular axis to the substrate (see Figure 6).
Membrane	A membrane is a thin layer separating two environments allowing selective mass transport control, like chemical or physical filtering or separation of substances. Membrane is often used as synonym to diaphragm.
Spring	Spring is a suitably shaped structure that can return to its original shape after a deformation (see Figure 5).
Torsion hinge	Torsion hinge also called deformation hinge or a torsion beam is an element that deforms by twisting about an axis parallel to the beam's axis. This allows the structures to rotate around the axis parallel to the substrate (see Figure 4).
Proof mass	Proof mass also called seismic mass is a structural element usually connected to a deformable element. The mass of this element defines the resonant frequency, sensitivity and precision of the system. In some cases, holes or grooves are made on the proof mass to control or eliminate damping (see Figure 6).

Rack and pinion	Structure used to transform rotation to translation movement.
Hinge	The movable joint or mechanism to provide for the turning of two movable parts.  Figure  11: Hinge
Interconnects	Electrically conducting element for transmitting a signal from one point to another one. Interconnects that may be formed on, in or through the substrate or any element formed on the substrate (e.g. lid of a package). A via is a particular form of an interconnect.
Electrodes	Electrically conducting element through which an electric current enters or leaves a substance (or a vacuum) whose electrical characteristics are being measured, used, or manipulated. Also terminal points in electrical components.
Thermal actuators	The movement is generated by the difference in thermal expansion of two of more elements (generally beams), each elements having at least one anchoring point to the substrate. A typical thermal actuator is formed out of two parallel beams (one cold beam and one thermal beam) attached at one end to the substrate and having one connecting point at the other end. When the temperature of the thermal beam becomes higher than the temperature of the cold beam, the difference of thermal expansion generates a deflection at the connecting point.  Figure 12: Thermal
	beam (US2003002133) Search and Classification Notes: Thermal actuators are also found in H01H.

Glossary of terms



# B81B 1/00

# Devices without movable or flexible elements, e.g. microcapillary devices

#### **Definition statement**

This place covers:

Structures without a degree of freedom, static structures. A non extensive list of these structures is Pillar / Columns, Tips, Anchors, Ribs, Cavities, Grooves, Holes, Channels, Nozzles.

### References

#### Limiting references

This place does not cover:

Microneedles (Devices for taking blood samples by percutaneous method)	<u>A61B 5/1411</u>
Microneedles (devices for introducing media into the body)	A61M 37/0015

# B81B 3/00

Devices comprising flexible or deformable elements, e.g. comprising elastic tongues or membranes (B81B 5/00 takes precedence)

#### **Definition statement**

This place covers:

Structures that are flexible and/or deformable with at least one degree of freedom. A non extensive list of these structures is Bridges, Cantilevers, Diaphragms, See-saws, Comb structures, Flexures, Membranes, Springs, Torsion hinges, Proof mass.

#### B81B 5/00

# Devices comprising elements which are movable in relation to each other, e.g. comprising slidable or rotatable elements

#### **Definition statement**

This place covers:

Arrangement of individual elements which are not connected to each other by a flexible element and that are rotatable or slidable in relation to each other. Typically gear trains, actuators with a rotor or a sliding part, racks and pinions, hinges.

# Relationships with other classification places

Documents describing a single element (like a gear or a wheel) which may be used in a movable structure should NOT be classified in <u>B81B 5/00</u>. These documents may be classified for example in <u>B81B 1/006</u> or <u>B81C 99/0075</u>

#### References

#### Informative references

Attention is drawn to the following places, which may be of interest for search:

Micro Dynamo-electric machines, rotary and linear motors or generators

H02K 99/00

# B81B 7/00

# Microstructural systems; {Auxiliary parts of microstructural devices or systems}

#### **Definition statement**

This place covers:

Microsystems may be either:

- Structural arrangements of functional microstructures (structures that have a mechanical or electro-mechanical function) with further additional elements which are not directly participating to the mechanical or electro-mechanical function. These further elements may be protecting structures like packages, structures for controlling temperature, interconnects...etc.
- Arrangements of MEMS or of MEMS and discrete electronic components

# References

#### Limiting references

This place does not cover:

Elements and structures having a main mechanical function in the system.

## B81B 7/02

containing distinct electrical or optical devices of particular relevance for their function, e.g. microelectro-mechanical systems [MEMS] (B81B 7/04 takes precedence)

# **Definition statement**

This place covers:

The scope of this group has been limited to the following problems:

- Integrating a plurality of different MEMS devices on a single substrate. For example integrating together a pressure sensor and a switch.
- Integrating a MEMS device with discrete electronic components like tunable capacitors, optoelectronic devices, resistors for a switchable resistor array,...etc. on a single substrate
- Interconnecting several different MEMS devices.

#### References

# Limiting references

This place does not cover:

Networks or arrays of similar microstructural devices	B81B 7/04
Integration of a processing unit (circuit that transforms, amplifies, computes) with a MEMS device (Smart-MEMS)	B81C 1/00222

## B81B 7/04

# Networks or arrays of similar microstructural devices

#### **Definition statement**

This place covers:

This group relates to systems consisting of an array of structurally identical MEMS devices.