# CPC COOPERATIVE PATENT CLASSIFICATION

# C CHEMISTRY; METALLURGY

(NOTES omitted)

## **CHEMISTRY**

C12 BIOCHEMISTRY; BEER; SPIRITS; WINE; VINEGAR; MICROBIOLOGY; ENZYMOLOGY; MUTATION OR GENETIC ENGINEERING

(NOTES omitted)

# C12M APPARATUS FOR ENZYMOLOGY OR MICROBIOLOGY; {APPARATUS FOR CULTURING MICROORGANISMS FOR PRODUCING BIOMASS, FOR GROWING CELLS OR FOR OBTAINING FERMENTATION OR METABOLIC PRODUCTS, i.e. BIOREACTORS OR FERMENTERS}

#### NOTES

- 1. In this subclass the term microorganism includes prokaryotic and eukaryotic cells. Viruses, human, animal or plant cells, protozoa, tissues and unicellular algae are considered microorganisms.
- 2. When classifying an apparatus according to its use in group <a href="C12M 21/00">C12M 21/00</a>, classification should also be given in at least one of the groups <a href="C12M 23/00-C12M 99/00">C12M 99/00</a>.
- 3. This subclass <u>covers</u> apparatus or devices for the fermentation or for growing microorganisms or animal tissues of both laboratory and industrial scale, i.e. bioreactors.
- 4. This subclass <u>covers</u> also apparatus or devices for the pre-treatment or after-treatment of the biomass or microorganisms to be cultured or that have been cultured.
- 5. This subclass <u>does not cover</u> the methods or processes taking place in the bioreactors that are not based on the use of the parts of the apparatus.
- 6. This subclass does not cover:
  - apparatus for culturing plant tissue, which are covered by A01H 4/001;
  - apparatus for preservation of living parts of bodies of humans or animals, which are covered by A01N 1/0242;
  - apparatus or devices for testing sterility conditions not linked to a bioreactor or fermenter growing biomass, which are covered by <u>A61L 2/00</u>, <u>G01N 31/226</u>;
  - apparatus for biological treatment of water, waste water, sewage or sludge, which are covered by C02F 3/00, C02F 11/00;
  - apparatus for brewing of beer, which are covered by C12C;
  - apparatus for production of wine or vinegar, which are covered by C12G, C12J 1/10;
  - apparatus or devices for DNA and RNA technology, which are covered by B01L 7/52, B01J 19/0046, C12N 15/1003;
  - fermentation processes, which are covered by C12P;
  - apparatus for bioleaching of ores, which are covered by C22B 3/18;
  - removing cellulose from cellulosic substances, which is covered by <u>D21C</u>;
  - apparatus or devices for sampling, detection, investigation or analysis of microorganisms or biosensors, which are covered by G01N 33/48;
  - apparatus for automatic analysis not linked to a bioreactor or fermenter growing biomass, which are covered by G01N 35/00;
  - testing or evaluating the effect of a chemical or biological compound involving human or animal cells, which are covered by G01N 33/5005;
  - apparatus for immunological test processes, which are covered by G01N 33/5302.

## **WARNING**

In this subclass non-limiting references (in the sense of paragraph 39 of the Guide to the IPC) may still be displayed in the scheme.

## 1/00 Apparatus for enzymology or microbiology

# NOTE

This group covers:

- apparatus where microorganisms or enzymes are produced or isolated;
- apparatus where the characteristics of microorganisms or enzymes are investigated, e.g. which growth factors are necessary;

- apparatus specially adapted to employ microorganisms or enzymes as "reactants" or biocatalysts;
- apparatus of both laboratory and industrial scale

1/002 • {Photo bio reactors}

 $1/005 \qquad \bullet \ \{Incubators\}$ 

1/007 • {Flexible bags or containers}

1/02	• with agitation means; with heat exchange means	1/3492	• • { with use of lecture and interpretation devices,
1/04	• with gas introduction means	1/26	grids}
1/045	• • {providing an anaerobic atmosphere}	1/36	<ul> <li>including condition or time responsive control, e.g. automatically controlled fermentors (controlling or</li> </ul>
1/06	• with agitator, e.g. impeller		regulating in general <u>G05</u> )
1/065	• • · · {on a horizontal axis}	1/38	Temperature-responsive control
1/08	• with draft tube	1/38	Apparatus specially designed for the use of free,
1/09	Flotation apparatus	1/40	immobilised, or carrier-bound enzymes, e.g.
1/10	<ul> <li>rotatably mounted</li> </ul>		apparatus containing a fluidised bed of immobilised
1/107	<ul> <li>with means for collecting fermentation gases, e.g.</li> </ul>		enzymes
	methane (producing methane by anaerobic treatment	1/42	Apparatus for the treatment of microorganisms
	of sludge <u>C02F 11/04</u> )	1/42	or enzymes with electrical or wave energy, e.g.
1/113	• • with transport of the substrate during the		magnetism, sonic waves
	fermentation		
1/12	with sterilisation, filtration or dialysis means	3/00	Tissue, human, animal or plant cell, or virus
1/121	• • {with sterilisation means}		culture apparatus
1/123	• • {with flat plate filter elements}	3/003	• {for culture in eggs}
1/125	{Culture inserts}	3/006	• {Cell injection or fusion devices}
1/126	• • {with hollow fibres or tubular filter elements}	3/02	<ul> <li>with means providing suspensions</li> </ul>
1/128	• • {with moving or mobile filter elements}	3/04	<ul> <li>with means providing thin layers</li> </ul>
1/14	<ul> <li>with means providing thin layers or with multi-level</li> </ul>	3/043	• • {rotatably mounted}
	trays	3/046	• • • {Roller bottles}
1/16	<ul> <li>containing, or adapted to contain, solid media</li> </ul>	3/06	<ul> <li>with filtration, ultrafiltration, inverse osmosis or</li> </ul>
1/165	<ul><li>• {treated with gel punching devices}</li></ul>		dialysis means
1/18	Multiple fields or compartments	3/062	• • {with flat plate filter elements}
1/20	Horizontal planar fields	3/065	• • {with hollow fibres or tubes}
1/203	• • • {Disc dispensing devices therefor}	3/067	• • {with moving or mobile filter elements}
1/206	• • • • {Multiple discs supporting devices}	3/08	<ul> <li>Apparatus for tissue disaggregation</li> </ul>
1/21	<ul> <li>Froth suppressors</li> </ul>	3/10	<ul> <li>for culture in eggs</li> </ul>
1/22	• Petri dishes	21/00	{Bioreactors or fermenters specially adapted for
1/24	• tube or bottle type (anaerobic jars C12M 1/045)	21/00	specific uses (digesters for manure A01C 3/023;
1/26	<ul> <li>Inoculator or sampler</li> </ul>		apparatus for PCR <u>B01L 7/52</u> ; destroying or
1/261	• • {Airborne microorganism samplers}		transforming solid waste <u>B09B 3/00</u> ; methods for
1/262	• • {Handle streaking devices}		genetic engineering C12N 15/00, C12Q 1/68; nucleic
1/263	• • {Replica plating devices}		acid amplification reactions C12Q 1/6844)}
1/264	• • {Devices involving centrifugal, centripetal or	21/02	• {Photobioreactors (culturing algae A01G 33/00,
	rotational forces}		A01H 4/001, C12N 1/12)}
1/265	• • {Pipettes; Syringes; Suction devices}	21/04	• {for producing gas, e.g. biogas (digesters for
1/266	• • {Magnetic separators}		manure with production of biogas A01C 3/028,
1/267	• • {Biofilm separators}		biological treatment of water, waste water or
1/268	• • {Positioning tools for sampling or inoculating		sewage C02F 3/00, C02F 11/02, preparation of
	devices}		natural gas or syngas <u>C10L 3/06</u> , <u>C10L 3/10</u> )}
1/28	• • being part of container	21/06	• {for <u>in vitro</u> fertilization}
1/30	Sampler being a swab	21/08	<ul> <li>{for producing artificial tissue or for ex-vivo</li> </ul>
1/32	<ul> <li>multiple field or continuous type</li> </ul>		cultivation of tissue (prostheses A61F 2/00, grafts
1/33	<ul> <li>Disintegrators</li> </ul>		<u>A61L 27/00</u> )}
1/34	Measuring or testing with condition measuring or	21/10	• {adapted for the cultivation of avian eggs or in
	sensing means, e.g. colony counters		avian eggs, e.g. for vaccine production}
1/3407	• • {Measure of electrical or magnetical factor}	21/12	• {for producing fuels or solvents (C12M 21/04 takes
1/3415	• • {Pressure measure, e.g. with manometers,		precedence; liquid carbonaceous fuels <u>C10L 1/00</u> ,
	respirometers}		solid fuels <u>C10L 5/00</u> )}
1/3423	{Calorimetry}	21/14	• {for producing enzymes}
1/343	• • {Mass spectrometry}	21/16	• {Solid state fermenters, e.g. for koji production}
1/3438	• • {with use of isotopes, e.g. radiorespirometers,	21/18	• {Apparatus specially designed for the use of free,
	scintillometers}		immobilized or carrier-bound enzymes}
1/3446	• • {Photometry, spectroscopy, laser technology}	23/00	{Constructional details, e.g. recesses, hinges
1/3453	• • • {Opacity, turbidity or light transmission		(flow directing inserts in C12M 27/18-C12M 27/24;
	measure; Nephelometry}		apparatus for chemical or physical processes in
1/3461	• • • {Bio- or chemi-luminescence}		general <u>B01J</u> , chemical or physical laboratory
1/3469	{Infra red spectroscopy}		apparatus in general <u>B01L</u> )}
1/3476	{Fluorescence spectroscopy}	23/02	• {Form or structure of the vessel (large containers
1/3484	• • {Pen or contact colony counters}		<u>B65D 88/00</u> )}
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20/04	(7)	27/20	( <del>-</del> 1.11 1.1 1.4 1.1 1.1 1.1 1.1 1.1 1.1 1.1
23/04	• • {Flat or tray type, drawers ( <u>C12M 23/10</u> , <u>C12M 23/12</u> , <u>C12M 23/16</u> take precedence)}	25/20	• • {Fluidized bed (in chemical or physical processes <u>B01J 8/18</u> )}
23/06	• • {Tubular ( <u>C12M 23/08</u> , <u>C12M 23/16</u> take	27/00	{Means for mixing, agitating or circulating fluids
	precedence)}	27700	in the vessel (by introduction of gas C12M 29/06,
23/08	• • {Flask, bottle or test tube}		C12M 29/14, mixing in general or mixers per se
23/10	• • {Petri dish (crystallising dishes <u>B01L 3/06</u> )}		B01F; mixing in apparatus for chemical or physical
23/12	• • {Well or multiwell plates ( <u>C12M 25/04</u> takes		processes <u>B01J</u> )}
	precedence)}	27/02	• {Stirrer or mobile mixing elements}
23/14	• • {Bags}	27/04	• { with introduction of gas through the stirrer or
23/16	• • {Microfluidic devices; Capillary tubes		mixing element}
	(integrated microfluidic structures <u>B01L 3/5027</u> ;	27/06	• • {with horizontal or inclined stirrer shaft or axis}
	microreactors B01J 19/0093)}	27/08	• • {with different stirrer shapes in one shaft or axis}
23/18	• • {Open ponds; Greenhouse type or underground	27/10	• {Rotating vessel}
22/20	installations}	27/12	• {Roller bottles; Roller tubes}
23/20	• {Material Coatings (immunocoatings C12M 25/00)}	27/14	• {Rotation or movement of the cells support, e.g.
23/22	• {Transparent or translucent parts (glassware for		rotated hollow fibers}
22/24	laboratory use <u>B01L 3/00</u> )}	27/16	• {Vibrating; Shaking; Tilting}
23/24	• {Gas permeable parts}	27/18	• {Flow directing inserts}
23/26	• {flexible (flexible containers for laboratory use	27/20	• {Baffles; Ribs; Ribbons; Auger vanes}
22/20	<u>B01L 3/505</u> )}	27/22	• {Perforated plates, discs or walls}
23/28	• {disposable or single use}	27/24	• {Draft tube (C12M 29/08 takes precedence)}
23/30	• {biodegradable}		
23/32	• {Frangible parts}	29/00	{Means for introduction, extraction or
23/34	• {Internal compartments or partitions}		recirculation of materials, e.g. pumps (pumps per se
23/36	• {Means for collection or storage of gas; Gas	20/02	<u>F04B</u> )}
22/20	holders}	29/02	• {Percolation}
23/38	• {Caps; Covers; Plugs; Pouring means}	29/04	• {Filters; Permeable or porous membranes or plates,
23/40	• {Manifolds; Distribution pieces (fluid transfer	20/06	e.g. dialysis}
23/42	means <u>B01L 3/563</u> )} • {Integrated assemblies, e.g. cassettes or cartridges}	29/06	• {Nozzles; Sprayers; Spargers; Diffusers (per se
23/44		20/08	<u>B01F 23/231, B01J 19/26</u> )}
23/44	<ul><li>{Multiple separable units; Modules}</li><li>{Means for fastening}</li></ul>	29/08	{Air lift}
23/48	- · · · · · · · · · · · · · · · · · · ·	29/10	• {Perfusion}
23/48	• {Holding appliances; Racks; Supports (holding devices for laboratory apparatus <u>B01L 9/00</u> )}	29/12	• {Pulsatile flow}
23/50	• {Means for positioning or orientating the apparatus	29/14	• {Pressurized fluid}
	(C12M 41/08 takes precedence)}	29/16	• {Hollow fibers (hollow fiber modules in general <u>B01D 63/02</u> )}
23/52	• {Mobile; Means for transporting the apparatus (transportable laboratories <u>B01L 1/52</u> )}	29/18	• {External loop; Means for reintroduction of fermented biomass or liquid percolate (loop
23/54	• {hand portable}		type reactors for chemical or physical processes
23/56	• {Floating elements}		<u>B01J 19/2435</u> )}
23/58	• {Reaction vessels connected in series or in parallel	29/20	• {Degassing; Venting; Bubble traps (means for
	(combinations of bioreactors with other apparatus,		collection or storage of gas C12M 23/36; gas
	<u>C12M 43/00</u> )}	20/22	collection apparatus for laboratory use <u>B01L 5/02</u> )}
25/00	{Means for supporting, enclosing or fixing the	29/22	• • {Oxygen discharge}
	microorganisms, e.g. immunocoatings}	29/24	• {Recirculation of gas}
25/01	• {Drops}	29/26	• {Conditioning fluids entering or exiting the reaction
25/02	• {Membranes; Filters (filters or filtration in general		vessel}
	B01D 24/00-B01D 41/00)}	31/00	{Means for providing, directing, scattering or
25/04	• • {in combination with well or multiwell plates, i.e.		<b>concentrating light</b> (C12M 41/06 takes precedence)}
	culture inserts}	31/02	• {located outside the reactor}
25/06	• {Plates; Walls; Drawers; Multilayer plates}	31/04	• • {Mirrors}
25/08	• • {electrically charged}	31/06	{Lenses}
25/10	• {Hollow fibers or tubes (hollow fiber modules in	31/08	• {by conducting or reflecting elements located inside
	general <u>B01D 63/02</u> )}		the reactor or in its structure}
25/12	<ul> <li>{ the culture medium flowing outside the fiber or tube}</li> </ul>	31/10	• {by light emitting elements located inside the reactor, e.g. LED or OLED}
25/14	• {Scaffolds; Matrices (in general C12N 5/0068)}	31/12	• {Rotating light emitting elements}
25/16	• {Particles; Beads; Granular material; Encapsulation		
	(chemical or physical processes conducted in the presence of fluids and solid particles <u>B01J 8/00</u> )}		
25/18	• • {Fixed or packed bed}		
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33/00	{Means for introduction, transport, positioning,	41/00	{Means for regulation, monitoring, measurement
	extraction, harvesting, peeling or sampling of		or control, e.g. flow regulation (controlling or
	biological material in or from the apparatus		regulating chemical, physical or physicochemical
	(chemical or physical laboratory apparatus in general <u>B01L</u> , devices for taking cell samples <u>A61B 10/0045</u> ,		processes <u>B01J 19/0006</u> ; heating or cooling apparatus
	withdrawing or distributing predetermined quantities		for laboratory use <u>B01L 7/00</u> ; electro optical investigation of individual particles, flow cytometers
	of fluid <u>B01L 99/00</u> )}		G01N 15/14; automatic analysis G01N 35/00;
33/02	• {by impregnation, e.g. using swabs or loops (fluid		controlling or regulating in general <u>G06N</u> )}
33/02	transport using swabs <u>B01L 3/5029</u> )}	41/02	• {of foam (foam prevention during gasification of
33/04	• {by injection or suction, e.g. using pipettes,	11/02	liquids B01D 19/02)}
2270.	syringes, needles (pipettes in general <u>B01L 3/02</u> )}	41/04	Means for foam enhancement (making foam by)
33/06	• • {for multiple inoculation or multiple collection of		mixing $B01F 23/235$ )
	samples}	41/06	• {of illumination}
33/07	• • {Dosage or metering devices therefore}	41/08	• • {Means for changing the orientation}
33/08	• {by vibration}	41/10	• • {Filtering the incident radiation}
33/10	• {by centrifugation (centrifuges in general <u>B04B</u> );	41/12	• {of temperature (controlling the temperature of
	Cyclones (cyclones in general <u>B04C</u> )}		chemical or physical processes <u>B01J 19/0013</u> ,
33/12	• {by pressure}		heating or cooling apparatus for laboratory use
33/14	• {with filters, sieves or membranes}		<u>B01L 7/00</u> )}
33/16	• {Screw conveyor}	41/14	• • {Incubators; Climatic chambers (per se
33/18	• {Rollers}		<u>B01L 1/00</u> )}
33/20	• {Ribbons}	41/16	• • {by recirculation of culture medium at controlled
33/22	• {Settling tanks; Sedimentation by gravity (settling		temperature}
	tanks <u>per se</u> <u>B01D 21/02</u> )}	41/18	• • {Heat exchange systems, e.g. heat jackets or outer
35/00	(Moons for application of strong for stimulating	44.00	envelopes}
35/00	{Means for application of stress for stimulating the growth of microorganisms or the generation	41/20	• • • {the heat transfer medium being a gas}
	of fermentation or metabolic products; Means	41/22	• • • {in contact with the bioreactor walls}
	for electroporation or cell fusion (machines for	41/24	• • · {inside the vessel}
	extracting juice from animal or plant tissue by	41/26	• {of pH}
	electroplasmolysis A23N 1/006, processes employing	41/28	• {of redox potential}
	electric or wave energy <u>B01J 19/08</u> ; treatment	41/30	• {of concentration}
	of microorganisms or enzymes with electrical or	41/32	• • {of substances in solution}
	wave energy C12N 13/00; methods for cell fusion	41/34	• • {of gas}
	C12N 15/02; introduction of foreign genetic material	41/36	• • {of biomass, e.g. colony counters or by turbidity
	<u>C12N 15/87</u> )}		measurements (electrooptical investigation of
35/02	• {Electrical or electromagnetic means, e.g. for		individual particles <u>G01N 15/14</u> , flow cytometers <u>G01N 15/1404</u> )}
27/04	electroporation or for cell fusion}	41/38	• { of metabolites or enzymes in the cells }
35/04	• {Mechanical means, e.g. sonic waves, stretching	41/40	• {of pressure}
25/06	forces, pressure or shear stimuli}	41/40	• {of agitation speed}
35/06	• {Magnetic means (C12M 35/02 takes precedence)}	41/44	• {of volume or liquid level}
35/08	• {Chemical, biochemical or biological means, e.g.	41/44	• {of cellular or enzymatic activity or functionality,
	plasma jet, co-culture}	41/40	e.g. cell viability}
37/00	{Means for sterilizing, maintaining	41/48	• {Automatic or computerized control (automatic
	sterile conditions or avoiding chemical or	41/40	analysis G01N 35/00)}
	biological contamination (C12M 23/38 takes		unuly 515 <u>55111 55/65</u> /)
	precedence; filtration in general and filters per se	43/00	{Combinations of bioreactors or fermenters with
	<u>B01D 24/00-B01D 41/00;</u> autoclaves <u>B01J 3/04;</u>		other apparatus}
	treatment of microorganisms with electrical or wave	43/02	• {Bioreactors or fermenters combined with devices
27/02	energy <u>C12N 13/00</u> )}	10/01	for liquid fuel extraction; Biorefineries}
37/02	• {Filters}	43/04	• {Bioreactors or fermenters combined with
37/04	· {Seals}		combustion devices or plants, e.g. for carbon
37/06	• {Means for testing the completeness of the		dioxide removal ( <u>C12M 43/06</u> takes precedence; recovery of carbon dioxide <u>C12F 3/02</u> )}
	sterilization (testing for sterility conditions	43/06	• {Photobioreactors combined with devices or plants
	<u>C12Q 1/22</u> )}	+3/00	for gas production different from a bioreactor of
39/00	{Means for cleaning the apparatus or avoiding		fermenter}
	unwanted deposits of microorganisms (apparatus	43/08	• {Bioreactors or fermenters combined with devices
	for cleaning laboratory receptacles or instruments	.5,00	or plants for production of electricity}
	<u>B01L 13/02</u> ; cleaning in general <u>B08B</u> )}		
		45/00	{Means for pre-treatment of biological substances}
		45/02	• {by mechanical forces; Stirring; Trituration;
			Comminuting (crushing, pulverizing, disintegrating in general PO2C)
			in general <u>B02C</u> )}

45/03	<ul> <li>{by control of the humidity or content of liquids;</li> <li>Drying}</li> </ul>
45/04	• {Phase separators; Separation of non fermentable material; Fractionation}
45/05	• {by centrifugation (centrifuges in general <u>B04B</u> )}
45/06	• {by chemical means or hydrolysis}
45/07	<ul><li> {by electrical in earls of hydrolysis}</li><li> {by electrical or electromagnetic forces}</li></ul>
45/09	• {by enzymatic treatment}
45/20	• {By chaymatic treatment} • {Heating; Cooling (heating or cooling apparatus for
43/20	laboratory uses B01L 7/00)}
45/22	• {Means for packing or storing viable
	microorganisms (casings for storing cell samples
	A61B 10/0096, preservation of living parts of the
	human or animal body A01N 1/02)}
47/00	{Means for after-treatment of the produced
	biomass or of the fermentation or metabolic
	products, e.g. storage of biomass (filters in general
	<u>B01D 24/00</u> - <u>B01D 41/00</u> )}
47/02	• {Separating microorganisms from the culture
	medium; Concentration of biomass (separating
	microorganisms from their culture media
47/04	<u>C12N 1/02</u> )}
47/04	• {Cell isolation or sorting (purging biological preparations of unwanted cells <u>C12N 5/0081</u> ,
	determining the presence or kind of microorganism
	C12Q 1/04)}
47/06	• {Hydrolysis; Cell lysis; Extraction of intracellular
	or cell wall material (lysis of microorganisms
	C12N 1/06; extracting or separating nucleic acids
	from biological samples <u>C12N 15/1003</u> )}
47/08	• {Homogenizing}
47/10	• {Separation or concentration of fermentation
	products (bioreactors combined with means for distillation or extraction of liquid fuel
	C12M 43/02)}
47/12	• {Purification (C12M 47/04 takes precedence)}
47/14	• {Drying}
47/16	• {Sterilization (autoclaves in general <u>B01J 3/04</u> )}
47/18	• {Gas cleaning, e.g. scrubbers; Separation of
	different gases (separating dispersed particles from
	gases or vapours <u>B01D 45/00</u> ; separation of gases
	or vapours B01D 53/00; gas washing apparatus for
	laboratory uses <u>B01L 5/04</u> )}
47/20	• {Heating or cooling (heating or cooling apparatus
	for laboratory uses <u>B01L 7/00</u> )}
99/00	{Subject matter not otherwise provided for in
	other groups of this subclass}
99/02	• {Disc dispensing devices}